

String Theory and Blackholes -I,II

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Nasulec, 26 Sept. 2006
27 Sept. 2006

General Relativity + Quantum Mechanics

2 pillars of modern physics

GR $\rightarrow$ describes well large scale structure of the universe.
tested to $1/10000$ in expts that measure the gravitational red shift. Hulse-Taylor pulsar....

QM $\rightarrow$ basic theory of the microscopic world, describes atomic, molecular, nuclear and HEP phenomena from atomic dimensions $\sim 1\text{\AA} \sim 10^{-8}\text{cm}$ to $\sim 10^{-16}\text{cm}$ (precision tests of electroweak theory).

But

QM applied to GR

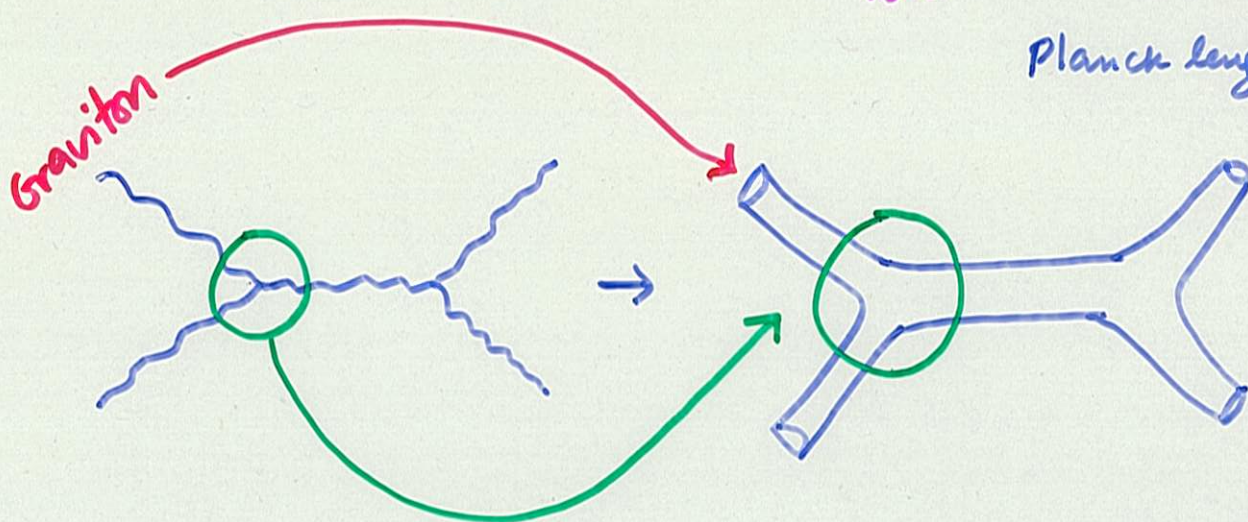
leads to fundamental difficulties.

(A) Short distance infinities

- Short distance or high energy behavior of GR is not renormalizable
- Strength of the interaction grows at high energy $\propto \frac{E l_P}{\hbar c}$

$$l_P = \left(\frac{G_N \hbar}{c^3} \right)^{1/2}$$

Planck length



fuzz $\sim l_s$ (String length)

$$G_N \sim g_{\text{str}}^2 l_s^2$$

Interaction is smeared over a region of size l_s , the string scale.

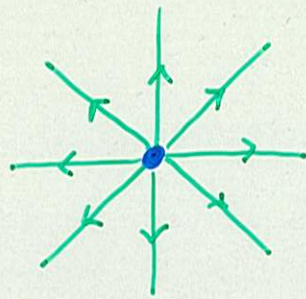
l_P is a derived concept.

- (B) Quantum Mechanics + Blackholes
 $\Rightarrow$ unitarity problem

Black holes ... classical

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Electromagnetism:



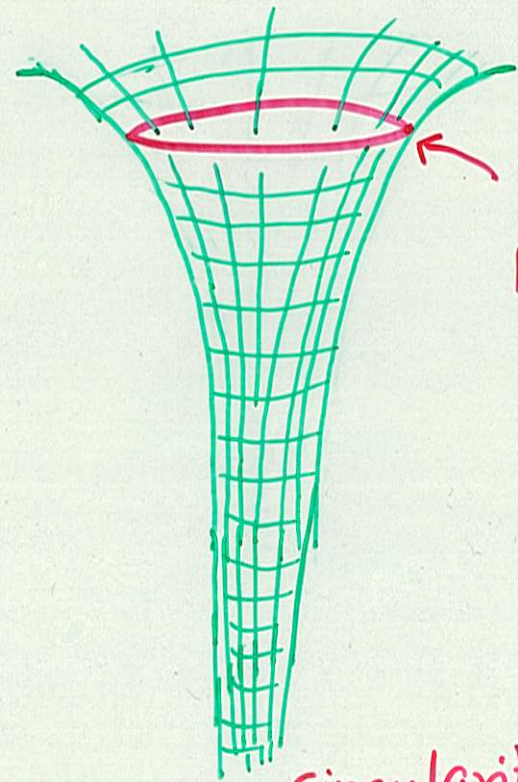
Coulomb:

$$A_0 = \frac{e}{r}, \quad A_i = 0$$

$$\vec{E} = -\vec{\nabla} A_0 = \frac{e}{r^2}$$

General Relativity: Schwarzschild:

$$ds^2 = - \left(1 - \frac{2GM}{rc^2}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2GM}{rc^2}\right)} + r^2 d\Omega^2$$



$$r_h = \frac{2GM}{c^2}$$

horizon

$$A_h = 4\pi r_h^2$$

- $r \gg \frac{2GM}{c^2}$

$$\frac{c^2}{2}(g_{00} - 1) = \phi = -\frac{GM}{r}$$

Newtonian
gravity!

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \kappa T_{\mu\nu}$$

$$\kappa = G$$

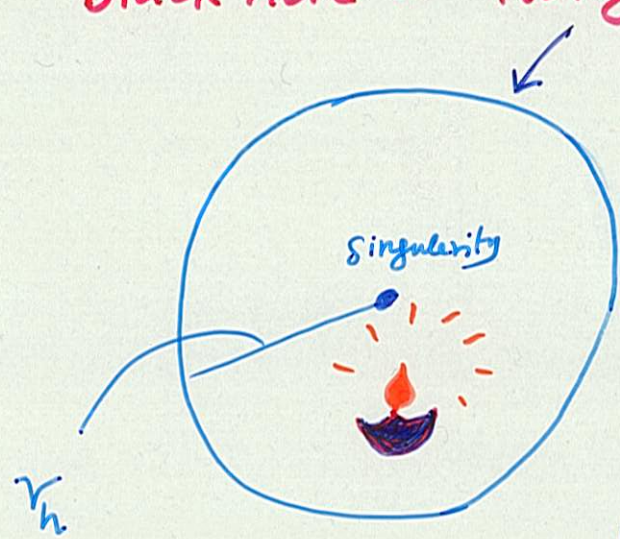
- $r \leq \frac{2GM}{c^2}$

Black hole

is a one-way 'gate'!

Black hole - Horizon

BH
Thermodynamics



Anything that falls inside
(including light) disappears.

Light cannot exit from behind the horizon
of a black hole

Bekenstein noted that the existence of a bh
violates the second law of thermodynamics,

$$S_{\text{candle}} \neq 0$$

outside bh

$$S_{\text{candle}} = 0$$

inside bh

$$S_{\text{final}} < S_{\text{initial}} !$$

unless the bh itself has entropy

$$S_{bh} = a A_h, \quad A_h = 4\pi r_h^2 = 16\pi G^2 M^2$$

$$\left[S_{M+\delta M} > S_M \right] \Rightarrow \frac{\partial S_{bh}}{\partial M} = \frac{1}{kT} \neq 0$$

Hawking Radiation : (Enter Quantum Mechanics) ⁵

In quantum theory blackholes are blackbodies — they radiate.

If there is absorption then there has to be emission: $\langle i | H_{int} | f \rangle = \langle f | H_{int} | i \rangle^*$

Large mass blackhole :

$$T = \frac{\hbar c}{8\pi M G} \approx 10^{-8} K \quad (M \gtrsim M_{\odot})$$

1st law of thermodynamics $\Rightarrow dM = T dS$

$$S = \frac{A_h}{4 l_p^2}$$

$$l_p^2 = \frac{\hbar G}{c^3} \approx 10^{-66} \text{ cm}^2$$

Bekenstein-Hawking
formula contains all 3:
 $\hbar, c, G$.

Hawking radiation rate :

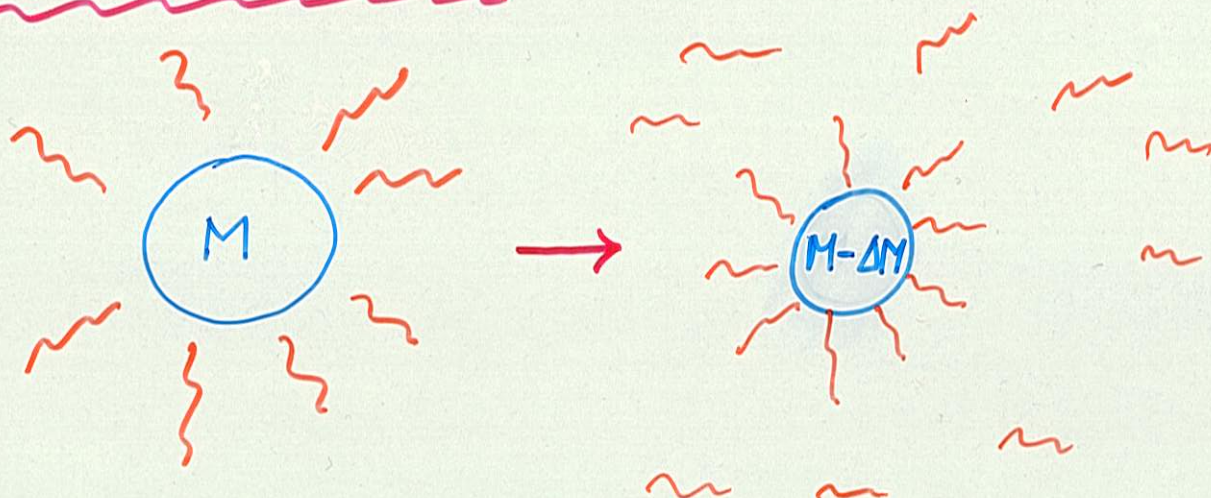
$$\Gamma(\vec{k}) = \frac{d\vec{k}}{(2\pi)^d} \frac{\sigma_{abs}(k)}{(e^{k/T} - 1)}$$

decay rate of blackhole

absorption cross section

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- Information Puzzle:



EVAPORATION

Hawking radiation is THERMAL

Pure state $\rightarrow$ Mixed state.

$$\rho = \sum_i |i\rangle\langle i| \rightarrow \rho = \sum_i \bar{e}^{\frac{E_i}{T}} |i\rangle\langle i|$$

$\downarrow$
 $\rho^2 = \rho$

$\rightarrow$

$\downarrow$
 $\rho^2 \neq \rho$

not allowed
by
Quantum Mechanics!

This is called the information paradox.

- Bh singularity $| R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} | \sim \left(\frac{1}{M^2 r^6} \right)^2$

A short tour of string theory

The basic idea is very simple :

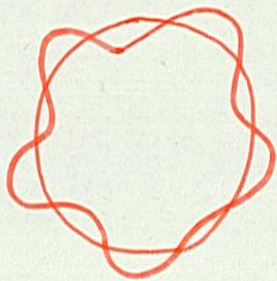
LAWS OF MOTION ARE FORMULATED IN TERMS
OF EXTENDED OBJECTS

Historically :

1 - dim. extended object "a string".

2 types

closed



open



Vibrational
modes are
interpreted
as 'particle states'

Massless spectrum

graviton,



General Relativity, $G_N \propto g_s^2 l_s^8$

gauge field

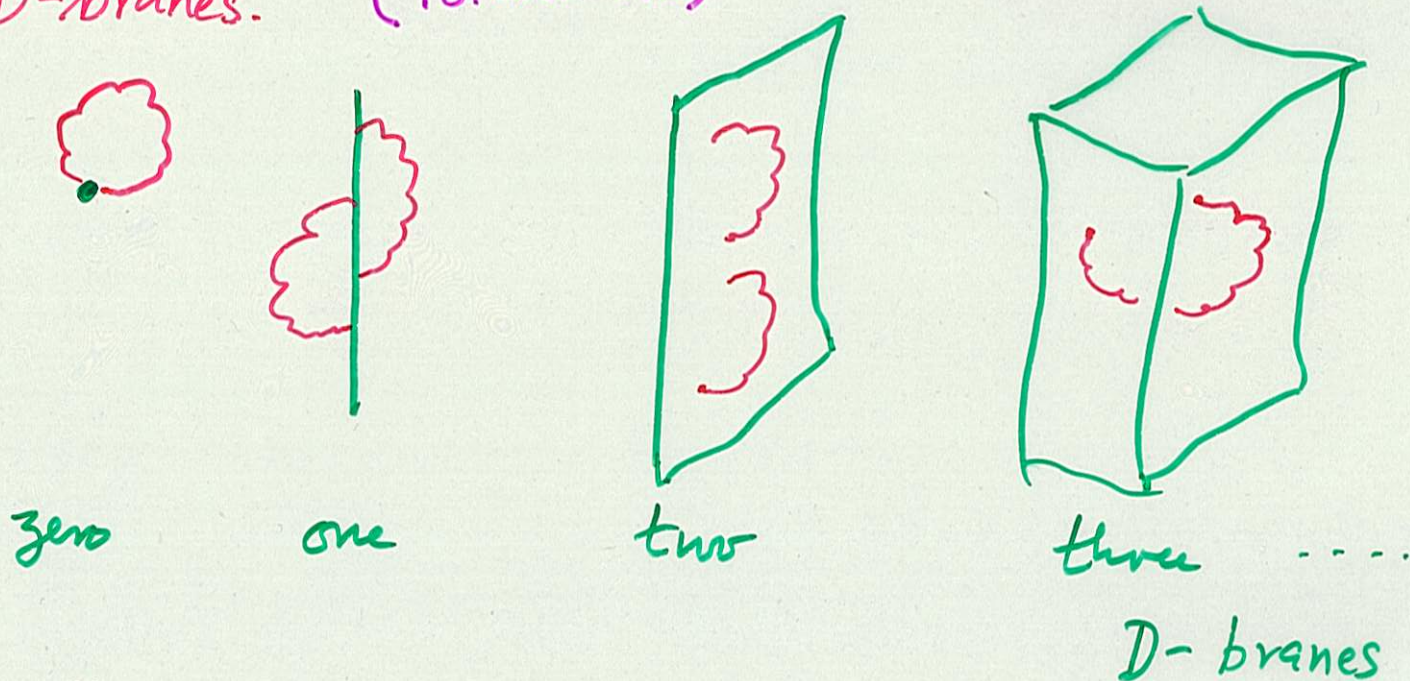


non-Abelian gauge
theory, $g_{YM}^2 \propto g_s$

These facts are perhaps the most important
reasons why we believe in string theory

There is more D-Branes

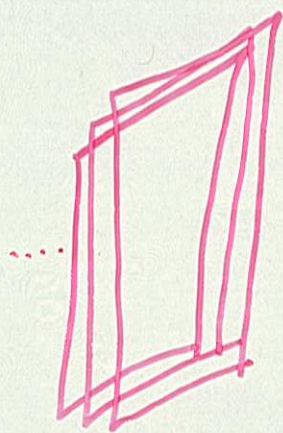
In the closed superstring there are higher tensor gauge fields $C_{\mu\nu\rho\dots}$ which are sourced by other extended objects... called D-branes. (Polchinski)



Collective excitations are described by open strings (gauge fields....)

$$M \propto \frac{1}{g_s} \quad \Rightarrow \quad V_{\text{gravity}} = \frac{g_s^2}{g_s} \sim g_s$$

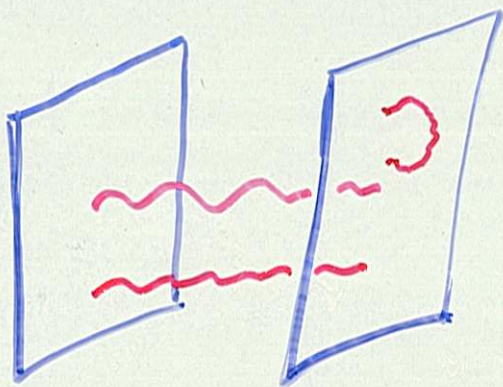
Weak gravitational field as $g_s \rightarrow 0$,
 but putting a large number 'N' on
 top of each other $\Rightarrow V_{\text{grav.}} \sim (N g_s) !!$



'N' of them produce a
sizable field $\sim (g_s N)$

It is these objects which are the 'new
degrees' of freedom ('New' because of duality
symmetries of string theory)

How do D-branes interact?



by exchange of
open strings.

D-branes $\sim$ 'quarks'
open strings $\sim$ gluons

Bound states of a large number of D-branes
can model a blackhole if $Ng_s \gg 1$

Solution in a Model System

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Type IIB superstring theory also has another set of 'higher' gauge fields:

$$C^{(2)} = C_{\mu\nu}^{(2)} dx^\mu \wedge dx^\nu \quad \text{and the field strength}$$

$$F^{(3)} = dC^{(2)} = F_{\mu\nu\lambda}^{(3)} dx^\mu \wedge dx^\nu \wedge dx^\lambda$$

also $d=10$.

Low energy (long wavelength) effective Lagrangian:

$$S \sim \frac{1}{G_N^{(10)}} \int d^{10}x \sqrt{-g} \left(R - \frac{1}{2} (\nabla\phi)^2 - \frac{1}{12} e^\phi (F^{(3)})^2 \right)$$

main point is that there is a (nearly susy) black hole sol.

in 4+1 dim. while the other 5 dimensions are the torii $S^1 \times T^4$.

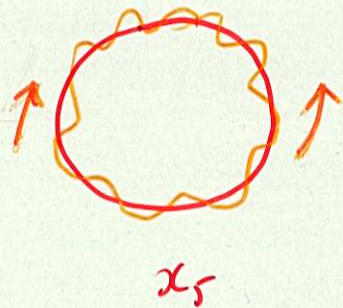
$$\begin{array}{cccccccccc} \dot{1} & \dot{2} & \dot{3} & \dot{4} & \dot{0} & \dot{5} & \dot{6} & \dot{7} & \dot{8} & \dot{9} \\ \underbrace{\hspace{1.5cm}}_{\text{BH}} & & \uparrow & & \underbrace{\hspace{1.5cm}}_{T^4} \\ & & S^1 & & \end{array}$$

This black hole has a mass, 2 charges corresponding to $F^{(3)}$ and $\star F^{(3)}$
 Q_5 and Q_1

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The sol. also has left and right moving gravitational waves on the circle along x_5 .

$$N_L = N + n, \quad N_R = n, \quad n \ll N$$



The black hole has a finite temperature and entropy, and it Hawking radiates.

The main message here is that the low energy effective lagrangian of IIB string theory has a black hole characterized by 4 charges: $Q_1, Q_5, N+n, N$.

It has all the characteristics of a Schwarzschild bh with charges, except 1) its specific heat is +ve.

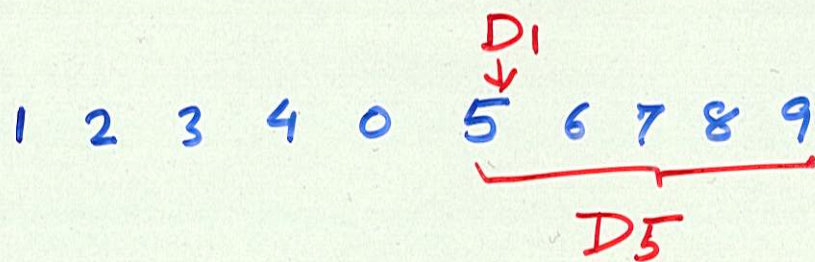
(for Schwarzschild: $M \propto \frac{1}{T} \therefore \frac{\partial M}{\partial T} < 0$)

2) Asymptotically the space time is $AdS_3 \times S^3 \times T^4$.

The D1-D5 system

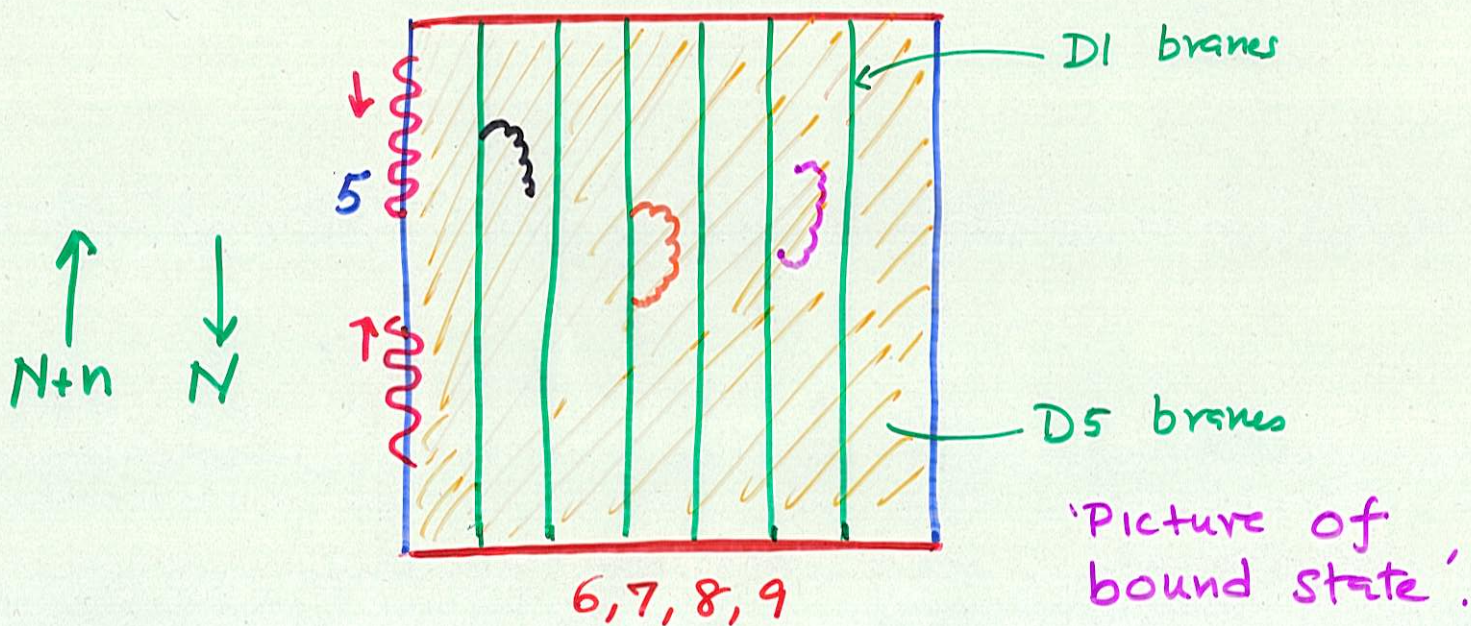
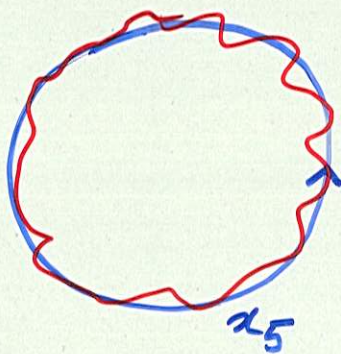
Q_1 D1 branes on S^1 along x^5

Q_5 D5 branes on $S^1 \times T^4$ (x^5, x^6, x^7, x^8, x^9)



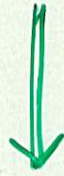
Choose $R \gg l_s = \sqrt{\alpha'}$

Introduce left + right moving momentum modes on S^5 : $N+n + N$



The constituent model is a complicated interacting system of D1 + D5 branes.

13'

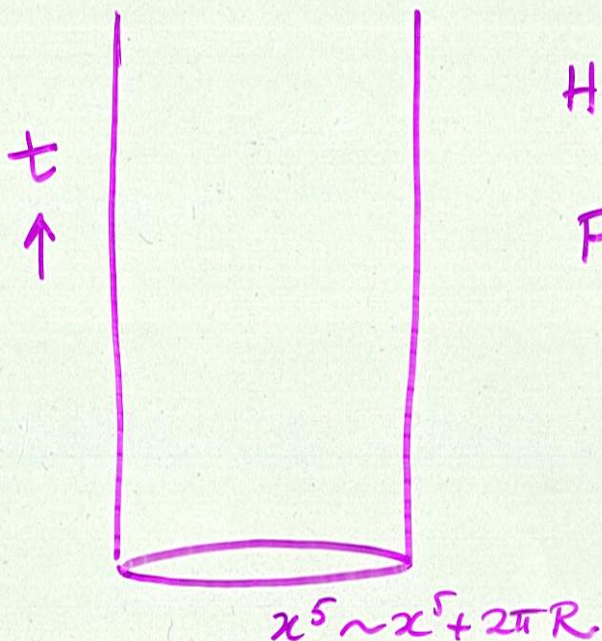


low energy dynamics (collective excitations of the bd. state)

described by a supersymmetric conformal field theory in 1+1 dim.

$$\text{Central charge } C = 6Q_1Q_5 = 4Q_1Q_5 + \frac{4}{2}Q_1Q_5$$

$4Q_1Q_5$ is the number of independent open strings between D1 + D5



$$H_0 = \frac{N}{R} + \frac{2n}{R} \equiv -i\frac{\partial}{\partial t}$$

$$P = \frac{N}{R} \equiv -i\frac{\partial}{\partial x^5}$$

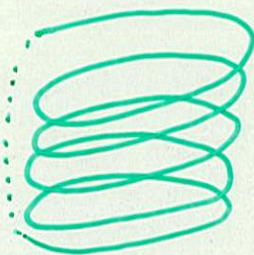
Brane dynamics ... contd.

Effective field theory of low lying modes is a superconformal field theory in 2-dim.
 $(x_5, t) \equiv (\sigma, t)$.

The field $x_A^i(\sigma, t)$ takes values in the
 Symmetric product $\underbrace{T^4 \times T^4 \times \dots \times T^4}_{Q, Q_5}$
 Q, Q_5 times.

Maximum entropy state corresponds to longest cycle of the permutation group $S(Q, Q_5)$.

$$\tilde{x}_A^i(\sigma + 2\pi(A-1)) \equiv x_A^i(\sigma)$$



At each winding you go up by 1 thread and identify first + last threads.

$$\begin{aligned} \tilde{x}^i(\sigma, t) = \frac{1}{\sqrt{4\pi}} \sum_{n>0} \left(\frac{a_n^i}{\sqrt{n}} e^{in(\sigma-t)/Q, Q_5} \right. \\ \left. + \frac{\tilde{a}_n^i}{\sqrt{n}} e^{-in(\sigma+t)/Q, Q_5} \right) + \text{c.c.} \end{aligned}$$

$$\sigma \rightarrow \sigma + 2\pi \Rightarrow a_n^i \rightarrow e^{in/Q, Q_5} a_n^i, \text{ etc.}$$

Blackhole state:

$$|BH\rangle = \prod_{n=0}^{\infty} \prod_{i=1}^4 C(n,i) (a_n^i)^{N_{n,L}^i} (\tilde{a}_n^i)^{N_{n,R}^i} |0\rangle$$

$$a_n^i |0\rangle = \tilde{a}_n^i |0\rangle = 0, \quad C(n,i) \ni \langle BH|BH\rangle = 1$$

$|BH\rangle$ is bos symmetric if

$$\frac{n}{Q_L Q_R} = \text{integer} \equiv \tilde{n}$$

$$\tilde{N}_L = \sum_{n,i} n N_{n,L}^i = Q_L Q_R \sum_{\tilde{n},i} \tilde{n} N_{\tilde{n},L}^i = Q_L Q_R N_L$$

Sim. for $\tilde{N}_R = Q_L Q_R N_R$.

Hardy-Ramanujan method can evaluate the number of states for fixed $\tilde{N}_L + \tilde{N}_R$:

$$\Rightarrow \Omega = e^{2\pi(\sqrt{\tilde{N}_L} + \sqrt{\tilde{N}_R})}, \quad \tilde{N}_{L,R} \gg 1$$

$$\Rightarrow S = \ln \Omega = 2\pi \sqrt{Q_L Q_R} (\sqrt{N_L} + \sqrt{N_R}) !$$

a new insight to S-V result

Hence: the number of states with fixed value of $H = \frac{N}{R} + \frac{2n}{R}$ and $P = \frac{N}{R}$

$$\Omega \sim e^{2\pi\sqrt{Q_1 Q_5}(\sqrt{N+n} + \sqrt{n})}$$

for $Q_1, Q_5, N \gg 1$.

$$\Rightarrow S = \ln \Omega = 2\pi\sqrt{Q_1 Q_5}(\sqrt{N+n} + \sqrt{n})$$

Strominger-Vafa

(agrees with GR!)

$$\Rightarrow \frac{1}{T_H} = \beta_H = \frac{\partial S}{\partial (\frac{2n}{R})} = \frac{R}{2} \frac{\partial S}{\partial n} = \pi R \sqrt{\frac{Q_1 Q_5}{n}}$$

(agrees with GR!).

Note: $S = 2\pi\sqrt{Q_1 Q_5 N} + 2\pi\sqrt{Q_1 Q_5 n}$

$N \gg n$

using $T_H^2 \sim n$

$$\Delta S \sim T_H$$

characteristic of 1-dim.
gas.

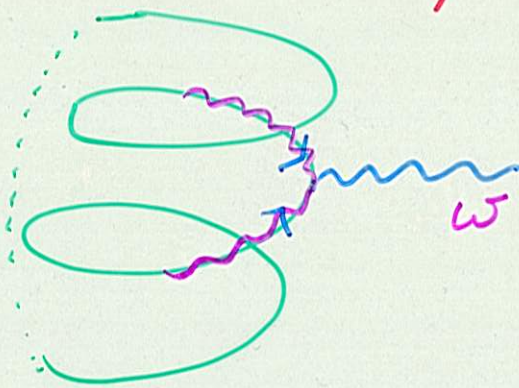
Hawking radiation:

Interaction Lagrangian:

$$\mu \int d\sigma dt \, h^{89}(\sigma, t) \sum_{A=1}^{Q, Q_r} \partial X^8 \bar{\partial} X^9$$

↓

determined to be $\mu=1$



E, P

$$\left(\frac{\omega}{2}, -\frac{\omega}{2}\right)$$

$$\left(\frac{\omega}{2}, \frac{\omega}{2}\right)$$

→ ω

One can calculate microstate → microstate

S-matrix:

$$S_{fi} = \frac{\sqrt{2} \kappa_5 \omega_1 \omega_2 \tilde{R} \delta_{n_1, n_2} 2\pi \delta(\omega - \omega_1 - \omega_2)}{\sqrt{\omega_1 \tilde{R} \omega_2 \tilde{R} \omega V_4}}$$

$$\times \sqrt{N_{L, n_1}^8} \sqrt{N_{R, n_2}^9}$$

$$\tilde{R} = RQ, Qr$$

Quantum Statistical Mechanics:

$$P_{\text{Abs}} = \frac{1}{\Omega_I} \sum_{if} |S_{fi}|^2$$

$$P_{\text{emis}} = \frac{1}{\Omega_F} \sum_{if} |S_{fi}|^2$$

$$P_{\text{Abs}} - P_{\text{emis}} \equiv \sigma_{\text{abs}}(\omega) \leftarrow \text{classical absorption cross section.}$$

$$\equiv \frac{2\pi^2 \gamma_i^2 \gamma_f^2 \pi R}{2} \frac{P(T_H)}{P(2T_L)P(2T_R)}$$

$$p(x) = (e^{R/x} - 1)$$

$$T_{L,R} = \frac{\sqrt{N_{L,R}}}{\pi R Q_L Q_R}$$

exactly matches the GR calculation.

of Hawking's formula!

Detailed account of this program
(including our contribution) in

Justin Raj David, Gautam Mandal + SRLW

Phys. Rptr. Vol. 369, #6, 2002.

Collaborators: A. Dhar, F. Hassan, G. Mandal, Justin David
in various combinations