

Higher Spin Gauge Theory & Holography

Part I Motivations and Overview.

- Why higher spin?

Flat Minkowskian space - no non-trivial S-matrix
of massless fields of spin $S > 2$.
[Weinberg ^{← assumes min. coupling}
Coleman-Mandula
Aragone, Deser]

This is no longer the case in curved space.
(AdS, dS, for instance)

$$\varphi_{\mu_1 \mu_2 \dots \mu_S}(x)$$

↑ symmetric, traceless tensor.

[In fact, to write gauge inv. e.o.m.,
need double-traceless tensor fields.

e.g. $S=2$, graviton $h_{\mu\nu}$, generally not traceless
trace part can be gauged away]

gauge sym takes the form

$$\delta \varphi_{\mu_1 \dots \mu_S}(x) = \partial_{(\mu_1} \epsilon_{\mu_2 \dots \mu_S)}(x) + \dots$$

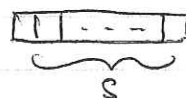
$\epsilon_{\mu_1 \dots \mu_{S-1}}$ traceless tensor
gauge parameter.

String theory has a tower of massive fields

with mixed symmetry wrt Lorentz group.

Leading Regge trajectory $\rightarrow$ symmetric traceless tensor.

High $\cdot E$ limit



$\rightarrow$ massless HS fields?

Not entirely clear in flat space [Attempts by Sagnotti, et al.]

But realized in AdS (in tensionless limit)
 $\alpha' = l_s^2 \Rightarrow R_{\text{AdS}}^2$

Our most familiar example

IIB on

$\text{AdS}_5 \times S^5$



4d $\mathcal{N}=4$ SYM.

$U(N)$ gauge group. $\lambda = g_{\text{YM}}^2 N$.

$$g_s \longleftrightarrow g_{\text{YM}}^2 = \frac{\lambda}{N}$$

$$\frac{R}{l_s} \longleftrightarrow \lambda^{\frac{1}{4}}$$

gauge theory: two expansion parameters.

$\frac{1}{N}$ and λ

For FINITE λ , ~~$\frac{1}{N}$~~ $\frac{1}{N} \sim g_s$ in bulk.

$N \gg 1 \Rightarrow$ weakly coupled String Field Theory
 even when R/l_s is not large.

$\lambda \rightarrow \infty$ limit $\Rightarrow$ Supergravity on $AdS_5 \times S^5$.

$\lambda \rightarrow 0$ limit $\Rightarrow$ higher spin gauge theory (HS GT)
+ (massive) matter on AdS_5 .

take Φ to be one of the 6 scalars in adjoint of $U(N)$

Have HS currents, of the form

$$J_{\mu_1 \dots \mu_s}^{(s)} = \text{Tr} \left(\Phi \overleftrightarrow{D}_{\mu_1} \overleftrightarrow{D}_{\mu_2} \dots \overleftrightarrow{D}_{\mu_s} \Phi \right) + \dots$$

$\uparrow$ symmetric, traceless.
 $\uparrow$ subtract off traces, etc.

$\lambda \rightarrow 0$ limit, $J_{\mu_1 \dots \mu_s}^{(s)}$ conserved.

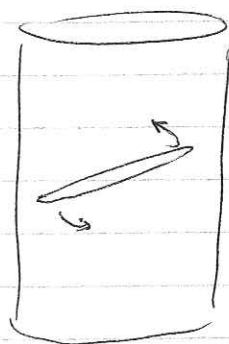
$$\partial^{\mu_1} J_{\mu_1 \mu_2 \dots \mu_s}^{(s)} = 0 \Rightarrow \text{HS symmetry.}$$

$\lambda > 0$, $J^{(s)}$ acquires anomalous dimension.

$$\Delta = s + 2 + \delta_s.$$

$$\delta_s = f(\lambda) \ln s + \dots, \quad s \gg 1$$

$\uparrow$ cusp anomalous dim



$\lambda \gg 1$ matches Δ -s of
semi-classical spinning
folded string in AdS .
will return to this later

HS sym of the boundary CFT



HS gauge field in the bulk,

$$\varphi_{\mu_1 \dots \mu_s}^{(s)}$$

coupled to $J_{\mu_1 \dots \mu_s}^{(s)}$ on bdry.

mass of $\varphi^{(s)}$ fixed by gauge sym. $\leftarrow \begin{array}{l} \text{may} \\ \text{call them} \\ \text{"massless"} \\ \text{in AdS} \end{array}$

$\leftrightarrow \Delta = s+1$ for dual current $J^{(s)}$

Also have lots more in the bulk,

elementary bulk fields $\leftrightarrow$ single-trace operators in bdry CFT.

e.g. $\text{Tr}(\Phi^n)$, $n=2,3,\dots$

$\left[\uparrow \text{cut off @ } N \right]$



a tower of massive bulk fields, $s=0$,

So, $\mathcal{N}=4$ SYM @ $\lambda \rightarrow 0$ (gauged at zero coupling).

is dual to a HSGT + many massive fields in AdS_5 .

$$\uparrow G_N \sim \frac{1}{N^2}$$

This HSGT + matter is the tensionless limit of IIB string field theory in $\text{AdS}_5 \times S^5$.

Q: What is the simplest HSGT?

- HSGT can be realized simply in AdS_3 via Chern-Simons, coupling to matter fields tricky, but possible.
- 3d gravity has no bulk d.o.f., too trivial for some purposes (may still learn interesting aspects of black holes)
- 4-dim Vasiliev '92.

A pure HSGT in AdS_4 .

minimal version: contains 1 gauge field of each even ≥ 0 spin,

$$S = 0, 2, 4, 6, \dots$$

$\nwarrow$ $\uparrow$ $\underbrace{\hspace{2cm}}$
 a scalar graviton HS fields.
 w/ $m^2 = -\frac{2}{R_{AdS}^2}$

- Need ∞ tower! (just like string field th.)

"non-minimal" version: $S = 0, 1, 2, 3, 4, \dots$

all ≥ 0 integer spins included.

[• can also include fermions, SUSY, etc.]

Interactions highly constrained

Almost uniquely fixed by HS gauge symmetry!

If demand parity

only two theories, fixed up to overall coupling.

A-type: bulk scalar $\varphi^{(0)}$, parity even

B-type: $\varphi^{(0)}$ parity odd

If relax parity, $\exists$ ∞ -parameter family
of (minimal or non-minimal) Vasiliev theory,
bosonic e.g.

interactions still very constrained.

- one new coupling parameter for $(n+3)$ -th order
interaction,

$n=0, 2, 4, \dots$



We will unlock
this black box
~~in the next lecture~~
SOON!

Some features of Vasiliev's theory

- Know full nonlinear classical eqn of motion.
- but, in 1st order formalism, w/ many aux. fields.
- linearized eqn $\Rightarrow$ Fronsdal eqn, ^{gauge} standard free HS fields.
- There appears to be no obstruction in recovering full Lagrangian order by order in power of fields from the e.o.m. (by solving aux. fields).
in practice very hard, has not been done.
- A priori, arbitrarily high # deriv. ^{interaction} at each order in HS fields.
- ③ cubic level, finitely many independent on-shell vertices for given triple of spins (S_1, S_2, S_3) .

e.g. scalar φ , $\lambda \varphi^3$ coupling (actually, $\lambda=0$, in AdS_4)

What about $\varphi \partial^\mu \varphi \partial_\mu \varphi$?

$$\frac{1}{2} \partial_\mu (\varphi^2 \partial^\mu \varphi) - \frac{1}{2} \varphi^2 \square \varphi$$

$\uparrow$
total deriv.

$\underbrace{\varphi^2 \square \varphi}_{m\varphi'' + \mathcal{O}(\varphi^2)} \text{ by e.o.m.}$

- quartic & higher order interaction vertex.
 - ∞ -ly many higher deriv. terms.
 - presumably non-local.

(analogous to SFT, $\frac{ls}{R} \rightarrow \infty$ limit)

- Interactions almost entirely fixed by HS sym.
If ^{HS sym} preserved by quantum corrections,

can only renormalize overall coupling constant.

- conceivably a UV finite theory,
despite ∞ -ly many higher deriv. terms.
- quantum corrections have not been studied explicitly
(gauge fixing, regularization)

Now, if Vasiliev's system describe a consistent quantum theory of gravity + HS fields, in AdS_4

it must have a CFT dual,

with some sort of $1/N$ expansion,

$$g_{\text{BULK}} \sim \frac{1}{N}.$$

What is this dual CFT?

Zhiboedov & Maldacena '11.

EXACT HS sym $\Rightarrow$ CFT₃ is free

* does NOT mean that there is a free massless scalar or fermion field.

On the other hand, HS sym can be broken by AdS boundary condition.

$\Rightarrow$ more interesting dual CFTs.

Klebanov, Polyakov '02.

Sezgin, Sundell

Grombi, Minwalla, Prakash, Trivedi, Wadia, XY, '11.

Dual CFTs are "vector models"

* Large classes of critical $(2+1)\text{-D}$ systems in condensed matter physics are such vector models (w/ small or "modest" values of N)

and we (conjecturally) know their EXACT holographic dual

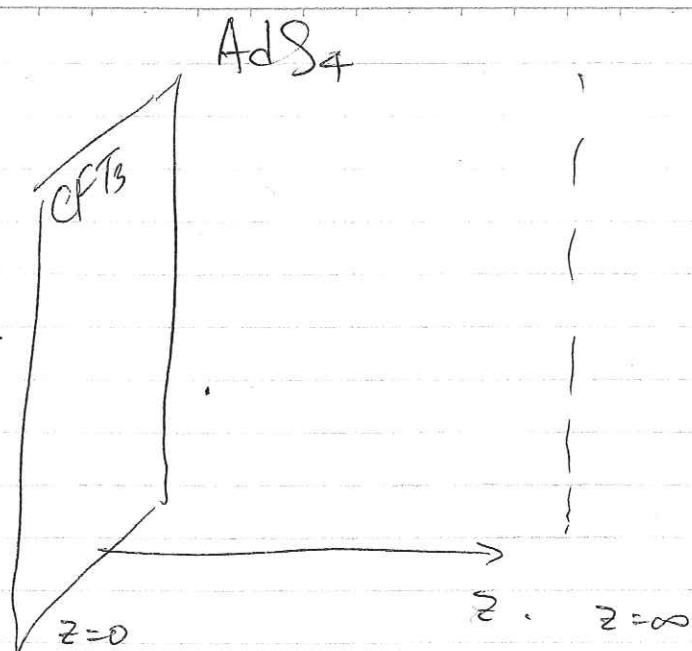
To list a few:

Critical $O(N)$ model,

QED + scalar or fermion flavors,

CP^{N-1} model, ...

[Note: NO SUSY, though admit SUSY generalization]



Can impose 2 different
bdry conditions
on bulk scalar ϕ .

$$m^2 = -\frac{2}{R^2}$$



dual to scalar operator
 $\mathcal{O}$ in CFT_3

with scaling dimension

$$\Delta = \frac{3}{2} \pm \sqrt{\left(\frac{3}{2}\right)^2 + (mR)^2} = \begin{cases} 2 \\ 1 \end{cases}$$

$$ds^2 = \frac{d\vec{x}^2 + dz^2}{z^2}$$

" $\Delta=2$ bdy condition"

$$\phi(\vec{x}, z) \sim z^2 + \mathcal{O}(z^3) \quad \text{in the absence of source.}$$

or

$$z + \mathcal{O}(z^3), \quad z \rightarrow 0,$$

" $\Delta=1$ bdy condition"

[for HS fields $\phi_{\mu_1 \dots \mu_s}$, can only impose
one bdy condition

$$\phi_{i_1 \dots i_s}(\vec{x}, z) \sim z, \quad (z \rightarrow 0)$$

(source gives z^{2-2s})

Minimal Vasiliev theory.

	A-type	B-type
$\Delta=1$ b.c.	free $O(N)$ theory.	critical $O(N)$ Gross-Neveu model
$\Delta=2$ b.c.	critical $O(N)$ model.	free $O(N)$ fermions.

explain this first.

free $O(N)$ theory. (in 3d.) take N massless real free scalars ϕ_i .

restrict to $O(N)$ -singlet sector.

i.e. operators of this CFT are $O(N)$ -singlets made out of ϕ_i & their derivatives.

$$\phi_i \phi_i, \quad \phi_i \partial_\mu \phi_i, \quad \phi_i \partial_\mu \partial_\nu \phi_i, \quad \phi_i \phi_i \phi_j \phi_j, \quad \dots$$

OPEs close $\Rightarrow$ a well-def'd CFT.

- Note that the $O(N)$ -singlet condition is what allows for a $1/N$ expansion. Without this condition there cannot be a weakly coupled holographic dual.

• Spectrum of single trace operators.

for simplicity let us consider "free $U(N)$ theory"
for the moment, ϕ_i : cplx scalars.

$\partial \dots \partial \bar{\phi}_i, \partial \dots \partial \phi_i$,
organize into rep of conformal algebra $SO(3,2)$

single "letter" character $\partial \dots \partial \phi$'s ^{count}
or $SO(4,1)$ (Euclidean)

$$T_{\phi} x^{\Delta} \mu^{J_3} = \frac{x^{\frac{1}{2}} (1-x^2)^{\text{e.o.m.}}}{(1-x)(1-\mu x)(1-\mu^{-1}x)}$$

character of ^{all} single trace op's

$$\frac{x(1+x)^2}{(1-\mu x)^2(1-\mu^{-1}x)^2} \xrightarrow{\mu=1} \frac{x(1+x)^2}{(1-x)^4}$$

primary operators labeled by (Δ, j)

$\uparrow$ spin.

$$(\Delta, j) = (1, 0), (2, 1), (3, 2), \dots, (s+1, s), \dots$$

$\uparrow$ long rep. $\underbrace{\hspace{10em}}_{\text{short rep}}$

$$G_{\Delta, s} = \frac{(2s+1)x^{\Delta}}{(1-x)^3} \quad \text{char of long rep.}$$

$$X_{s+1, s} = G_{s+1, s} - G_{s+2, s-1} \quad \text{char of short rep.}$$

$\uparrow$ subtract off null states $\partial^{\mu} \bar{\partial}^{\mu} \phi$

$$\frac{x(1+x)^2}{(1-x)^4} = G_{1,0} + \sum_{s=1}^{\infty} \chi_{s+1,s}$$

precisely one copy of $(s+1, s)$ for each $s=0, 1, 2, \dots$

$$J^{(0)} = \bar{\phi}_i \phi_i,$$

$$J_{\mu}^{(1)} = \bar{\phi}_i \overleftrightarrow{\partial}_{\mu} \phi_i,$$

$$J_{\mu\nu}^{(2)} = \bar{\phi}_i \overleftrightarrow{\partial}_{\mu} \overleftrightarrow{\partial}_{\nu} \phi_i - 2 \partial_{\mu} \bar{\phi}_i \partial_{\nu} \phi_i + 2 \delta_{\mu\nu} \partial^{\rho} \bar{\phi}_i \partial_{\rho} \phi_i,$$

$$J_{\mu\nu\rho}^{(3)} = \dots$$

etc.

for $O(N)$, ϕ_i real, only keep $J^{(s)}$, $s = \text{even}$.

These of course match the (free) spectrum of minimal A -type Virasoro system in AdS_4 .

$$\uparrow$$

$$\mathcal{O} = \bar{\phi}_i \phi_i \text{ is parity even}$$

$$\Delta=1 \Rightarrow \Delta=1 \text{ bdy condition.}$$

What about interactions?

Correlation function.

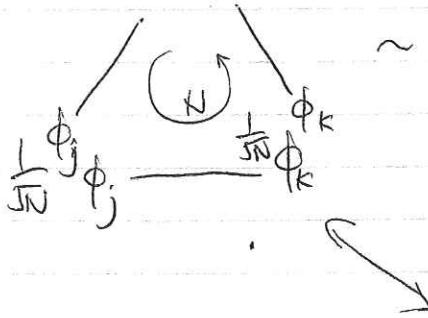
normalize two-point fn $\langle \mathcal{O} \mathcal{O} \rangle \sim N^0$,

$$\mathcal{O} = \frac{1}{\sqrt{N}} \phi_i \phi_i$$

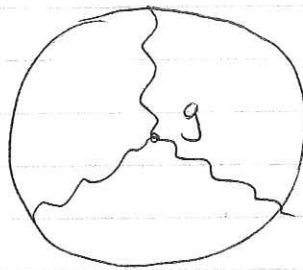
↑

Now, 3-pt fn. $\langle \mathcal{O}(x_1) \mathcal{O}(x_2) \mathcal{O}(x_3) \rangle$

$$\frac{1}{\sqrt{N}} \phi_i \phi_j \sim N^{-\frac{1}{2}} \quad = N^{-\frac{1}{2}} \cdot \frac{1}{|x_{12}| |x_{23}| |x_{31}|}$$



bulk Witten diagram.



$$g_{\text{BULK}} \sim N^{-\frac{1}{2}}$$

subtlety: actually, ϕ^3 coupling vanishes.

$$\langle \mathcal{O} \mathcal{O} \mathcal{O} \rangle_{\substack{\Delta=1 \\ \text{b.c.}}} = 0 \times \infty$$

$\uparrow$ ϕ^3 coupling $\nwarrow$ $\int_{\text{AdS}_4} K_{\Delta=1} K_{\Delta=1} K_{\Delta=1}$

need to be regularized.

We will see that all 3-pt fns at tree level

$$\langle J^{(S_1)} J^{(S_2)} J^{(S_3)} \rangle \text{ in Vasiliev th. w/ } \Delta=1 \text{ b.c.}$$

match that of free $O(N)$ th., including relative normalization.
[Gombi, XY, '09, '10].

- After the work of Zhiboedov + Maldacena, this ^{is viewed} as a check that Vasiliev's th. makes sense perturbatively and that HS sym is preserved by $\Delta=1$ bdy condition $\leftarrow$ (this depends on bulk interaction!)

★ Critical $O(N)$ model.

$\vec{\phi} = (\phi_1, \dots, \phi_N)$ massless real scalars.

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \vec{\phi})^2 + \dots$$

$$\mathcal{O} = \vec{\phi}^2$$

$$\Delta=1$$

RG flow

$$\propto$$

$$\Delta = 2 + \mathcal{O}\left(\frac{1}{N}\right)$$

free $O(N)$ vector model.

$$\mathcal{O}^2$$

double trace
def'n

$$(\phi_i \phi_i)^2$$

critical $O(N)$ vector model

$$\propto$$

NLoM on S^{N-1}

free $O(N-1)$ vector model.

NLoM description of the critical point:

$$\int \mathcal{D}\vec{\phi} \mathcal{D}\alpha \, e^{-N \int d^3x \left[\frac{1}{2} (\partial_\mu \vec{\phi})^2 + \frac{1}{2} \alpha (\vec{\phi}^2 - \frac{1}{g}) \right]}$$

$$= \int \mathcal{D}\alpha \, e^{-\frac{N}{2} \left[\text{Tr} \ln(-\Delta + 2) - \frac{1}{g} \int \alpha \right]}$$

$\underbrace{\hspace{10em}}_{\text{Seff}[\alpha]}$

Large N , saddle pt $\langle \alpha \rangle = m^2$ obeys.

$$\int \frac{d^3p}{(2\pi)^3} \frac{1}{p^2 + m^2} = \frac{1}{g} \quad (\text{def'd w/ dim reg})$$

$$\Rightarrow m^2 = \left[(4\pi)^{-\frac{d}{2}} \Gamma(1-\frac{d}{2}) g \right]^{-\frac{2}{d-2}}$$

critical pt

$$m \rightarrow 0, \quad g \rightarrow \infty \quad (2 < d < 4)$$

expand $S_{\text{eff}}[\alpha]$ to quadratic order,

get non-local kinetic term for α .

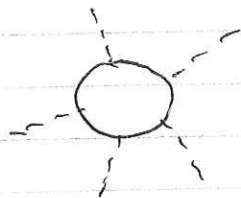
(generated from $\int \text{out } \vec{\phi}$ @ 1-loop)



$$\langle \alpha(x) \alpha(0) \rangle \sim \frac{1}{(x^2)^2} \quad (\propto \frac{1}{N})$$

$\uparrow$ a dim 2 operator. (at large N)

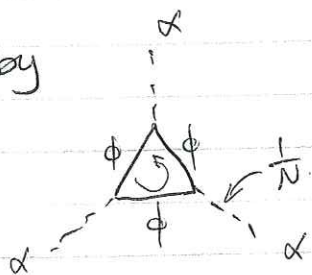
α^n vertex (also non-local)



e.g. $\langle \alpha \alpha \alpha \rangle$

Computed to leading order in $1/N$

by



$$\langle \alpha \alpha \rangle \sim \frac{1}{N}$$

$$\langle \alpha \alpha \alpha \rangle \sim N \times \left(\frac{1}{N}\right)^3 = \frac{1}{N^2}$$

$\sqrt{N} \alpha$ has canonically normalized 2-pt fn
and 3-pt fn $\sim \frac{1}{\sqrt{N}}$.

Actually, $\langle \alpha(x_1) \alpha(x_2) \alpha(x_3) \rangle$ vanishes at leading order
in $1/N$ - it is a contact term.

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This is consistent w/ coeff. of φ^3
vanishes in bulk theory.

$$\Delta=1, \quad \langle \phi \phi \phi \rangle = 0 \times \infty = \text{finite},$$

$\uparrow \int_{\text{AdS}_4} K_{\Delta=1}^3$

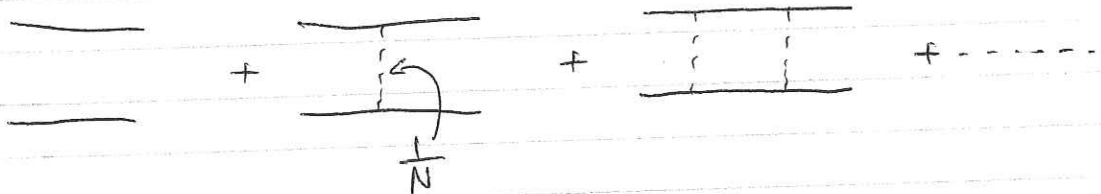
$$\Delta=2, \quad \langle \alpha \alpha \alpha \rangle = 0 \times \text{finite} = 0,$$

$\uparrow \int_{\text{AdS}_4} K_{\Delta=2}^3$

[but other correlators nontrivial
at this order.]

Can do a systematic $1/N$ - expansion

e.g. $\langle \phi_i \phi_j \phi_k \phi_l \rangle$



Now gauge $O(N)$ @ zero coupling,

i.e. restrict to $O(N)$ -singlet operators

α , $\Delta=2$, dual to SAME bulk scalar
as in case of free $O(N)$ ft.
but now w/ $\Delta=2$ bdy. condition.

Introducing Vasiliev's system. (4-dim)

x^μ - 4d spacetime coordinates

$y_\alpha, \bar{y}_\alpha, z_\alpha, \bar{z}_\alpha$, $\alpha=1,2$, twistor variables.
 $\dot{\alpha}=1,2$ (commuting ~~reference~~ spinors).

Define a $\star$ -algebra (non-commutative)

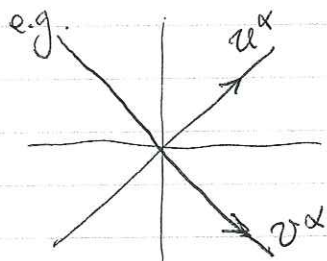
on functions of (y, z) and $(\bar{y}, \bar{z})$ separately.

$$f(y, z) \star g(y, z) = f(y, z) e^{\epsilon^{\alpha\beta} \left(\overleftarrow{\frac{\partial}{\partial y^\alpha}} + \overleftarrow{\frac{\partial}{\partial z^\alpha}} \right) \left(\overrightarrow{\frac{\partial}{\partial y^\beta}} - \overrightarrow{\frac{\partial}{\partial z^\beta}} \right)} g(y, z)$$

$$= \int d^2 u d^2 v e^{u \cdot v} f(y+u, z+u) g(y+v, z-v)$$

$$u^\alpha v_\alpha = u^\alpha v^\beta \epsilon_{\beta\alpha}.$$

Contour
chosen
so that
 $u \cdot v \in i\mathbb{R}$.



measure normalized.

so that

$$1 \star f = f \star 1 = f.$$

- $\star$ -product well defined on a set of "nice" functions.
- will encounter subtleties in doing pert. th. choice of contour is subtle.

"master fields"

$(y, \bar{y})$ $(z, \bar{z})$
 $\downarrow$ $\downarrow$

1-form $A(x|Y, Z)$ on $(x^\mu, z^\alpha, \bar{z}^\alpha)$ -space
 i.e. involve only $dx^\mu, dz^\alpha, d\bar{z}^\alpha$.

scalar $B(x|Y, Z)$. (no dy^i 's).

They will both contain HS fields and ∞ -ly many auxiliary fields, related by e.o.m.

write

$$A = W_\mu dx^\mu + S_\alpha dz^\alpha + S_{\bar{\alpha}} d\bar{z}^\alpha.$$

gauge sym:

$$e = e(x|Y, Z)$$

$$\delta A = \hat{d}e + A * e - e * A$$

$\uparrow$
 $\hat{d} = d_x + d_z$

$$F = \hat{d}A + A * A, \quad \delta F = [F, e]_*$$

$$\delta B = -e * B + B * \pi(e).$$

"twisted adjoint rep" of $*$ -alg.

π is defined by

$$\pi(f(y, z, dz, \bar{y}, \bar{z}, d\bar{z})) = f(-y, -z, -dz, \bar{y}, \bar{z}, d\bar{z}).$$

can define π that flips the signs of anti-hol twistor variables,

But we will restrict to function invariant under $\pi\bar{\pi}$,

So $\pi \sim \bar{\pi}$.

$$\left[\text{i.e. } W_\mu(x|Y, Z) = W_\mu(x|-Y, -Z) \right.$$

$$S_\alpha(x|Y, Z) = -S_\alpha(x|-Y, -Z).$$

$$B(x|Y, Z) = B(x|-Y, -Z) \left. \right]$$

Vasiliev's equations of motion

$$\begin{cases} \hat{d}A + A * A = f(B * K) dz^2 + \bar{f}(B * \bar{K}) d\bar{z}^2 \\ \hat{d}B + A * B - B * \pi(A) = 0 \end{cases}$$

↖ not an independent eqn, in fact, - follows from Bianchi id.

$$f(X) \text{ - a holomorphic } * \text{-function.} \quad \left[\begin{array}{l} \text{convention: } z^\alpha = \epsilon^{\alpha\beta} z_\beta \\ d\bar{z}^2 = \frac{1}{2} d\bar{z}^\alpha \wedge d\bar{z}_\alpha \end{array} \right]$$

$$\text{i.e. } f(B * K) = a_0 + a_1 B * K + a_2 B * K * B * K + \dots$$

$$K = e^{z^\alpha y_\alpha}, \quad \bar{K} = e^{\bar{z}^\alpha \bar{y}_\alpha} \quad \text{"Kleinian operator"}$$

Can show: up to field redefinition.

$$B \rightarrow g(B * K) * K.$$

↑ real, odd $*$ -function

$$\hat{S} := (\frac{1}{2} z_\alpha + S_\alpha) dz^\alpha + \text{c.c.}$$

$$\hat{S} \rightarrow \hat{S} * h(B * K)$$

↑ real, even $*$ -function

can put $f(x)$ in the form

$$f(x) = X e^{i\theta(x)}, \quad \theta(x) \text{ real, even.}$$

↑ product will be replaced by $*$ -product.

Parity: $y_\alpha \leftrightarrow \bar{y}_\alpha, \quad z_\alpha \leftrightarrow \bar{z}_\alpha.$

$$f(B * K) dz^2 \leftrightarrow \bar{f}(B * \bar{K}) d\bar{z}^2.$$

may assign B to be parity even or odd.

$$f(x) = f^*(x).$$

⇓

$$f(x) = X.$$

A-type theory.

$$f(x) = f^*(-x)$$

⇓

$$f(x) = iX.$$

B-type theory.

[These are "non-minimal" th. , turn out to have $S=0,1,2,3,\dots$ in AdS_4]

Egns admit the following truncation:

consider the operation $L_{\pm}$:

$$L_{\pm} : (y, \bar{y}, z, \bar{z})$$

$$\mapsto (iy, \pm i\bar{y}, -iz, \mp i\bar{z})$$

together with reversal of the order of $\ast$ -product.

This preserves $\ast$ -algebra,

i.e.

$$L_{\pm}(F \ast G) = L_{\pm}(G) \ast L_{\pm}(F),$$

$$[L_{\pm}^2 = \text{id by our truncation condition } \pi\bar{\pi} = \text{id}]$$

Note:

$$L_{+}(B \ast K) = K \ast L_{+}(B) = L_{-}(B) \ast K$$

$$[K \ast f(y, z) \ast K = f(-y, -z)]$$

$$K \ast K = 1.$$

Consistent truncation;

$$\left\{ \begin{array}{l} L_{+}(W) = -W, \\ L_{+}(S) = -S, \\ L_{-}(B) = B \end{array} \right.$$

$\Rightarrow$ minimal Vasiliev th.

($S=0, 2, 4, \dots$ in AdS_4)

Where is the AdS?

So far, eqns are bgnd independent.

AdS₄ vacuum sol'n;

$$\left\{ \begin{aligned} R. &= W_0(x|Y) = e_0(x|Y) + \omega_0(x|Y) \\ &= (e_0)_{\alpha\dot{\beta}}(x) y^\alpha \bar{y}^{\dot{\beta}} + (\omega_0)_{\alpha\beta} y^\alpha y^\beta + (\omega_0)_{\dot{\alpha}\dot{\beta}} \bar{y}^{\dot{\alpha}} \bar{y}^{\dot{\beta}} \\ B &= 0. \end{aligned} \right.$$

$d \times W_0 + W_0 * W_0 = 0$ satisfied if e_0, ω_0 are
vierbein and spin connection of AdS₄.

e.g. in Poincaré coord.

$$ds^2 = \frac{d\vec{x}^2 + dz^2}{z^2}$$

$x_\mu = (\vec{x}, z)$
 not to be confused
 w/ twistor
 variables

$$e_0 = -\frac{1}{4} \frac{dx_\mu}{z} y \sigma_\mu \bar{y} = \frac{1}{4} \frac{dx_\mu}{z} \sigma_{\alpha\dot{\beta}}^\mu y^\alpha \bar{y}^{\dot{\beta}}$$

$$\omega_0 = \frac{1}{8} \frac{dx^i}{z} [(\sigma^{i\bar{z}})_{\alpha\beta} y^\alpha y^\beta + (\sigma^{i\bar{z}})_{\dot{\alpha}\dot{\beta}} \bar{y}^{\dot{\alpha}} \bar{y}^{\dot{\beta}}]$$

Physical spectrum?

i.e. propagating d.o.f.

Linearize around AdS_4 vacuum.

- will discover a tower of HS gauge fields propagating in AdS_4 .

(A-type th.) $W = W_0 + \hat{W}, \quad S, \quad B.$

$$D_0 \equiv d_x + [W_0, \cdot]_*$$

$$\tilde{D}_0 \equiv d_x + W_0 * \cdot$$

$$- \cdot * \pi(W_0)$$

$$D_0 \hat{W} = -\hat{W} * \hat{W}$$

$$d_z \hat{W} = -D_0 S - \{\hat{W}, S\}_*$$

$$d_z S = B * (K dz^2 + \bar{K} d\bar{z}^2) - S * S$$

$$\tilde{D}_0 B = -\hat{W}_0 * B + B * \pi(\hat{W})$$

$$d_z B = -S * B + B * \pi(S)$$

$\Downarrow$ linearize.

$$\hat{W} = \Omega + W', \quad W'|_{z=0} = 0$$

$$D_0 \hat{W} = 0 \leftarrow |_{z=0} \Rightarrow D_0 \Omega = - (D_0 W')|_{z=0}$$

fixed by B.

$$d_z \hat{W} = -D_0 S$$

solve z-dept part of $\hat{W}$, i.e. W'

$$d_z S = B * (K dz^2 + \bar{K} d\bar{z}^2)$$

$S|_{z=0}$ gauge cond.

$$\tilde{D}_0 B = 0$$

$$\Downarrow \quad S = \int^z B * K dz^2 + c.c.$$

$$d_z B = 0 \Rightarrow B = B(x|y)$$

All HS fields are contained in

$$\Omega = \hat{W}|_{Z=0}.$$

and $B = B(x|Y)$. $\leftarrow s=0$ is only here.

expand $B(x|Y) = \sum B_{\alpha_1 \dots \alpha_n \dot{\beta}_1 \dots \dot{\beta}_m}^{(n,m)}(x) y^{\alpha_1} \dots \bar{y}^{\dot{\beta}_1} \dots$

and similarly $\Omega^{(n,m)}$ (which are 1-forms)

Now, we can answer "where are HS field?"

Spin- s fields $\subset \Omega^{(s-1+k, s-1-k)}$, $B^{(2s+n, n)}$
 $k=1-s, 2-s, \dots, s-1$, $B^{(n, 2s+n)}$

$$n \geq 0.$$

$$\Omega_{\underbrace{\alpha \dot{\beta}}_{\mu}}^{(s-1, s-1)} | \alpha_1 \dots \alpha_{s-1} \dot{\beta}_1 \dots \dot{\beta}_{s-1} \sim \Phi_{\alpha \alpha_1 \dots \alpha_{s-1} \dot{\beta} \dot{\beta}_1 \dots \dot{\beta}_{s-1}}$$

$\uparrow$
double-traceless

$\searrow$ gauge away
trace part.

$$\Phi_{(\mu_1 \dots \mu_s)} \sigma_{\alpha \dot{\beta}}^{\mu_1} \dots \sigma_{\alpha_{s-1} \dot{\beta}_{s-1}}^{\mu_s}$$

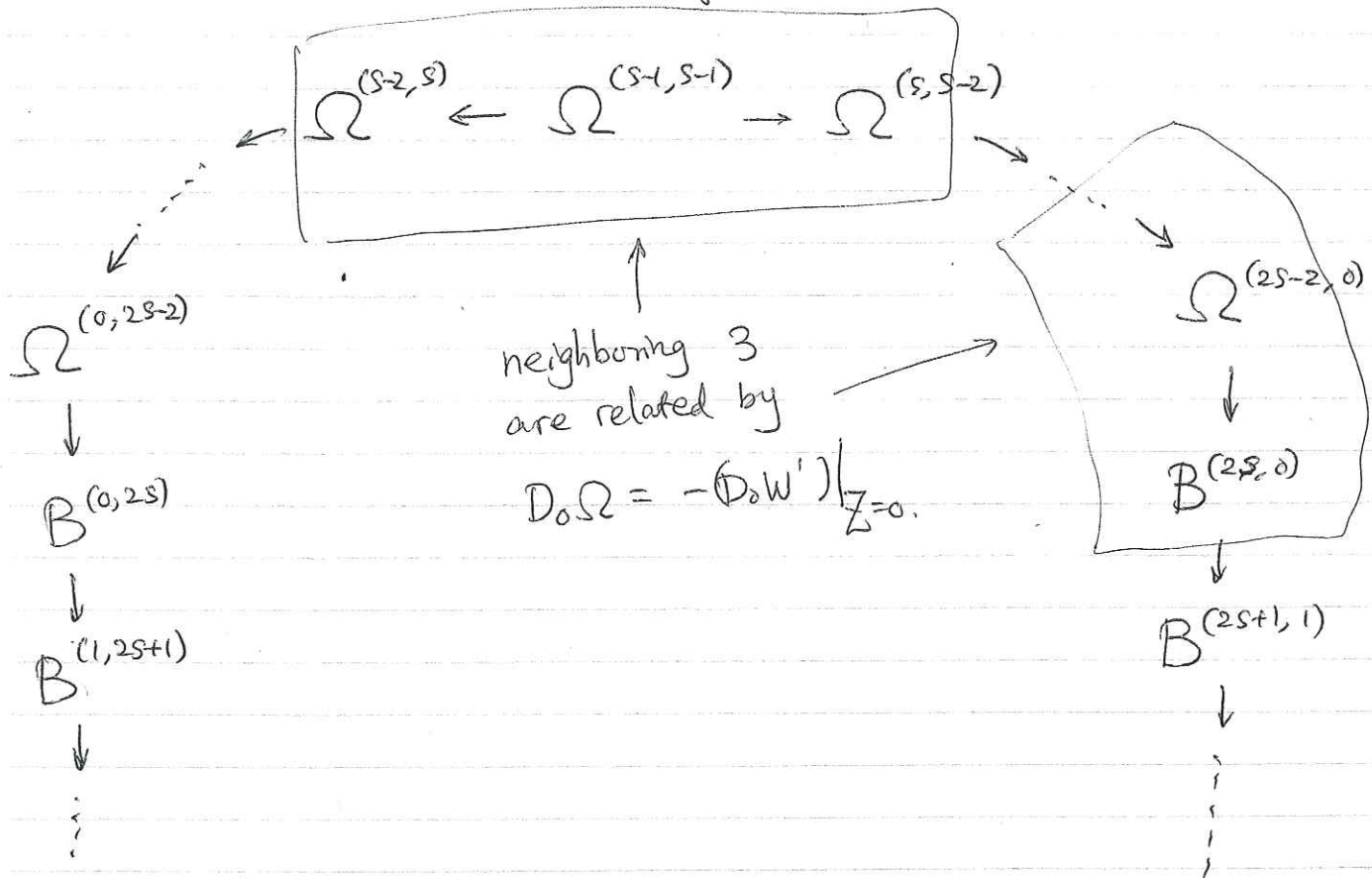
$rk-s$ symmetric traceless tensor field.

$$B_{\alpha_1 \dots \alpha_{2s}}^{(2s, 0)}$$

$$B_{\dot{\beta}_1 \dots \dot{\beta}_{2s}}^{(0, 2s)}$$

- spin- s generalization
of Weyl tensor.

A chain of relations imposed by e.o.m.



Simplest example: the $S=0$ component.

- scalar field, contained only in $B^{(n,n)}$

Look at linearized eqn. $\tilde{D}_0 B(x|Y) = 0$

i.e.

$$dx B + [\omega_0, B]_* + \{e_0, B\}_* = 0$$

$\Downarrow$

$$\nabla_\mu B^{(n,m)} + 2(e_0)_\mu^{\alpha\beta} \left(y_\alpha \bar{y}_\beta B^{(n-1,m-1)} + \frac{\partial}{\partial y^\alpha} \frac{\partial}{\partial \bar{y}^\beta} B^{(n+1,m+1)} \right)$$

$= 0$

Lowest components

$$\partial_\mu B^{(0,0)} + 2 e_\mu^{\alpha\beta} B_{\alpha\beta}^{(1,1)} = 0.$$

$$\nabla_\mu B_{\alpha\beta}^{(1,1)} + 2(e_\mu)_{\alpha\beta} B^{(0,0)} + 2 e_\mu^{\gamma\delta} B_{\alpha\gamma\beta\delta}^{(2,2)} = 0,$$

etc.

$$4 \nabla_{\alpha\beta} B^{(0,0)} + 2 B_{\alpha\beta}^{(1,1)} = 0,$$

$$4 \nabla_{\gamma\delta} B_{\alpha\beta}^{(1,1)} + 2 \epsilon_{\gamma\alpha} \epsilon_{\delta\beta} B^{(0,0)} + 2 B_{\alpha\gamma\beta\delta}^{(2,2)} = 0$$

Contract

with $\epsilon^{\gamma\alpha} \epsilon^{\delta\beta}$

$$\Rightarrow \nabla^{\alpha\beta} B_{\alpha\beta}^{(1,1)} + 2 B^{(0,0)} = 0.$$

Our convention of $e_\mu^{\alpha\beta}$ is such that

$$4 e_\mu^{\alpha\beta} \nabla_{\alpha\beta} = \nabla_\mu,$$

$$\nabla_{\alpha\beta} = -\frac{1}{8} e^\mu_{\alpha\beta} \nabla_\mu.$$

$$-2 \nabla^{\alpha\beta} \nabla_{\alpha\beta} = \nabla^\mu \nabla_\mu.$$

$$\Downarrow$$

$$(\nabla^\mu \nabla_\mu - m^2) B^{(0,0)} = 0, \quad m^2 = -2 \quad (\text{AdS radius set to 1}).$$

★ Relating $\Omega (= \hat{W}|_{Z=0})$ to $B(x|Y)$.
at linearized level:

$$(D_0 \hat{W})|_{Z=0} = 0, \quad \hat{W} = \Omega + W', \quad W'|_{Z=0} = 0.$$

$$\Omega = \Omega(x|Y).$$

$$D_0 \Omega = -(\underbrace{D_0 W'}_{y, z \text{ contract}})|_{Z=0} = -(\cancel{d_\alpha W'} + \{W_0(x|Y), W'\}_{\text{F}})|_{Z=0} \xrightarrow{\text{after setting } Z=0} 0$$

$$= -\{W_0, W'\}_{\text{F}}|_{Z=0} \sim \left\{ \frac{\partial W}{\partial y^\alpha}, \frac{\partial W'}{\partial z_\alpha} \right\}_{\text{F}}|_{Z=0}$$

only need linear term in z_α in W'

What is W' ? $\leftarrow$ determined by S ,
and hence by B . via

$$d_Z W' = -D_0 S, \dots$$

can derive rel'n b/t Ω and B ;

$$D_0 \Omega = \frac{\partial}{\partial y^\alpha} \frac{\partial}{\partial y^\beta} B(x|y, \bar{y}=0) e^{\alpha \dot{y}} \wedge e^{\beta \dot{\bar{y}}} + c.c.$$

$\uparrow$
for spin- s fields,

only $B^{(2s,0)}$ enters here, and $B^{(0,2s)}$

Using the gauge sym

$$\delta \Omega = dx \epsilon + [W_0, \epsilon]_*$$

restricted to $\epsilon(x|y)$.

can partially gauge fix, such that

the entire Ω is fixed in terms of $\Omega^{(s-1, s-1)}$

via a rel'n of the form

$$\Omega = \left(1 + \sum_{n=1}^{s-1} \hat{T}_+^n + \sum_{n=1}^{s-1} \hat{T}_-^n \right) \Omega^{(s-1, s-1)}.$$

$\hat{T}_+$ raises degree in y , lowers deg in $\bar{y}$.

$\hat{T}_-$ does the opposite.

both are 1st order diff. operators on x^μ .

Simplest example. $S=1$, vector gauge field
(in non-minimal Vasiliev th.)

$$\Omega^{(0,0)} = \Omega_{\mu(x)}^{(0,0)} dx^\mu$$

$\uparrow$
 A_μ

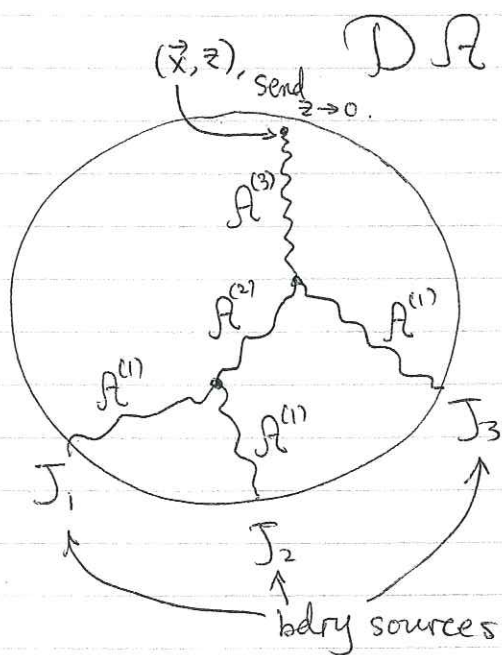
$$D_0 \Omega^{(0,0)} = dA = F^+ + F^-$$

$\uparrow \quad \quad \uparrow$
 $B_{\alpha\beta}^{(2,0)} \quad B_{\dot{\alpha}\dot{\beta}}^{(0,2)}$

Now, let us do perturbation theory.

At the level of master fields.

e.o.m. schematically of the form



$$D\Omega = \Omega * \Omega$$

n -point

To compute bdry correlators.

[GKP, Witten]

can take $(n-1)$ of them as

bdry sources, solve the

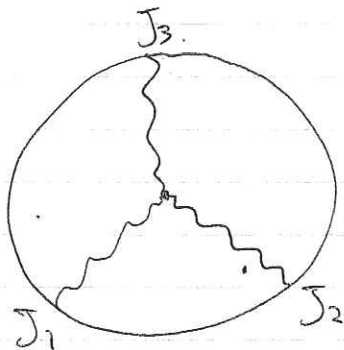
$(n-1)$ -th order bulk field

by integrating the e.o.m. iteratively,

and then send this bulk field to bdry to

extract its bdry limiting value $\propto$ n -pt function.

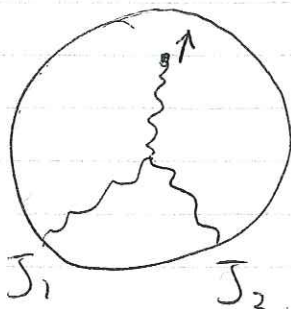
Three-Point Function



Even cubic vertex difficult to extract directly from Vasiliev's equations

[in principle straight forward, but a horrific mess]

But, can compute directly using e.o.m.



given bdy source $J_{i_1 \dots i_s}^{(s)}(\vec{x}_0)$.

polarization tensor.

$$\epsilon_{i_1 \dots i_s} = \epsilon_{i_1} \dots \epsilon_{i_s}$$

WLOG assumed to be a null vector.

Solve boundary-to-bulk propagator

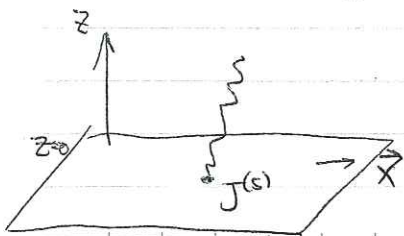
for B , Ω at linear order

e.g. a spin- s source at $\vec{x}=0$, polarization ϵ_i
 $\rightarrow \epsilon_{\alpha\beta} = \lambda_{\alpha}\lambda_{\beta}$.

b-t-b propagator for B .

$$B(x|Y) = \frac{z^{s+1}}{(\vec{x}^2 + z^2)^{s+1}} \cdot e^{-y^{\alpha} \sum_{\beta} \bar{y}_{\beta}} \cdot (y \cdot \bar{\lambda})^{2s} + c.c.$$

$$x = x^{\mu} \sigma_{\mu}, \quad \Sigma = \sigma^z - \frac{2z}{\vec{x}^2 + z^2} x, \quad \bar{\lambda} = \sigma^z \lambda.$$



Having solved 1st order fields from brary
sources, $B^{(1)}$, $S^{(1)}$, $W^{(1)}$,

solve for $B^{(2)}$ from

$$\tilde{D}_0 B^{(2)} = -\hat{W}^{(1)} * B^{(1)} + B^{(1)} * \pi(\hat{W}^{(1)})$$

↑

$$B^{(2)}(x|Y, Z)$$

$$\text{let } C(x|Y) = B|_{Z=0}, \quad B = C + B^V$$

B^V solved using

$$\cancel{d_z \check{B}} = -S * B + B * \pi(S)$$

$$\tilde{D}_0 B^{(2)} \Big|_{Z=0} = \tilde{D}_0 C^{(2)} + \underbrace{(\tilde{D}_0 \check{B}) \Big|_{Z=0}}_{\equiv -J^Z} = \left[-\hat{W}^{(1)} * B^{(1)} + B^{(1)} * \pi(\hat{W}^{(1)}) \right] \Big|_{Z=0} \equiv J^Y$$

$$\Downarrow \quad \tilde{D}_0 C = J^Y + J^Z \equiv J_\mu dx^\mu$$

algebraic manipulation

← calculate from
b-t-b propagators
and * - product.

$$(\square + \dots) C^{(2S,0)}(x|y) = J(y)$$

Solve $C^{(2S,0)}(x|y)$, ~~fake~~

→ self-dual part of HS field strength. → fake $Z \rightarrow 0$ limit.

Note: $z \rightarrow 0$, limit,

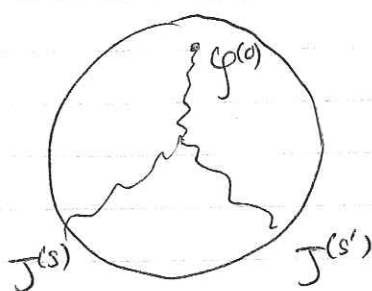
$C^{(2S,0)}_{\alpha_1, \alpha_2 \dots \alpha_{2S}}(\vec{x}, z)$ dominated by:

$$\partial_z^S \varphi_{i_1 \dots i_S}(\vec{x}, z).$$

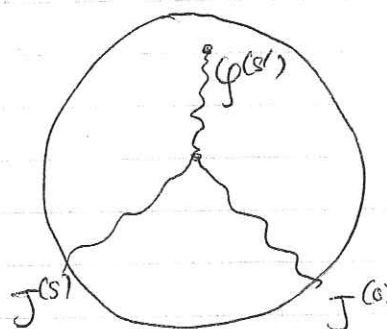
To extract $\langle \varphi_{i_1 \dots i_S} \rangle_{z \rightarrow 0}$, enough to look at $C^{(2S,0)}$

In 0912.3462 (w/ S. Grombi),

did this computation explicitly for



and



↑
 $s=s'$ case singular...
 much like (scalar)³, $0 \times \infty$
 problem.

↑ need $s > s'$
 to avoid gauge
 ambiguity.

Results agree w/ crossing symmetry.

i.e. $\langle J_1 J_2 J_3 \rangle$.

can regard any two as sources,

third J from expectation value
 of "outgoing" field.

Normalization precisely agree with 3-pt fn
 in free $O(N)$ vector model. ✓

A simplified method

"gauge function method",

or " $W=0$ gauge".

Part of Vasiliev's eqns $\Rightarrow$

$$dx W + W * W = 0.$$

i.e. W is a flat connection in x^μ

Can write (at least locally)

$$W = L^{-1} * dL.$$

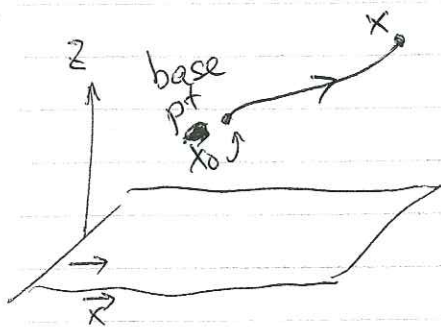
$\uparrow$ $*$ -inverse of L .

in particular,

$$W_0 = L_0^{-1} * dL_0,$$

$$L_0 = P \exp_* \left(- \int_x^{x_0} W_0^\mu(x' | Y) dx'_\mu \right).$$

explicit form of L_0 known.



In $W=0$ gauge, $dx S = dx B = 0$,

x^μ -dependence drops out entirely in S, B .

call these

$$S'(Y, Z), \quad B'(Y, Z)$$

In this gauge, Vasiliev's system reduces to

Simply: (A-type th.)

$$\begin{cases} dz S' + S' * S' = B' * (K dz^2 + \bar{K} d\bar{z}^2) \\ dz B' + S' * B' - B' * \pi(S') = 0 \end{cases}$$

To go back to "physical" gauge:

$$W = L^{-1} * d_x L$$

$$S = L^{-1} * dz L + L^{-1} * S' * L$$

$$B = L^{-1} * B' * \pi(L)$$

Note: $B' = B|_{\substack{x=x_0 \\ \text{base pt.}}} \quad L(x_0) = 1$

So, already know b-t-b propagator
in $W=0$ gauge,

Solving Vasiliev's eqns in pert. th is

then a simple exercise of integrating in $\mathbb{Z}$
 $= (z_x, \bar{z}_x)$

Finally, transform back to physical gauge,
take $z \rightarrow 0$ limit

I will not discuss the details of this calculation here, but to point out a few subtleties.

• read off 3-pt fn.

$$\langle J^{(s)}(\vec{x}, \lambda) J^{(s_1)}(x_1, \lambda_1) J^{(s_2)}(x_2, \lambda_2) \rangle$$

$\uparrow$
 polarization
 spinor

$$= \lim_{z \rightarrow 0} z^{-1} B^{(2)}(\vec{x}, z) \Big|_{y_\alpha = z^{-\frac{1}{2}} \lambda_\alpha, \quad \bar{y}_\alpha = \bar{z}_\alpha = \bar{\bar{z}}_\alpha = 0}$$

$\uparrow$
 Poincaré
 radial coord

• Gauge ambiguity

going from $B^{(2)}(\gamma, z)$ to physical gauge via L .

$$B^{(2)}(x|\gamma, z) = L_0^{-1}(x|\gamma) * B^{(2)}(\gamma, z) * \pi(L_0(x|\gamma))$$

$$- \epsilon^{(1)}(x|\gamma, z) * B^{(1)}(x|\gamma) + B^{(1)}(x|\gamma) * \pi(\epsilon^{(1)}(x|\gamma, z))$$

$\uparrow$
 1st order gauge ambiguity.

$$W^{(1)}(x|\gamma, z) = D_0 \epsilon^{(1)}(x|\gamma, z)$$

Also need
 $S|_{z=0} = 0$

Demand certain $z \rightarrow 0$ fall off condition on
 allowed $\epsilon^{(1)}(x|\gamma, z)$.

No. _____
DATE _____

Such allow gauge transformations
turn out to not affect result of bdr
3-pt function, provided.

$$S < S_1 + S_2$$

↑ ↑
Spin of outgoing field spins of sources.

- Encounter singular contour integrals
in computing $\ast$ -product

(the class of functions involved in b-t-b
propagators of master fields do not always
behave nicely under $\ast$ -product)

regularize by deforming contour.

- obtain results that agree with physical gauge
computation in previously computed cases.
- don't know general contour prescription
or why it works.
- contour prescription can be stated as contour
on "twistor space", Fourier transform half
of the twistor variables (y_1 or $\bar{y}_2$).

Result: A generating function for 3-pt correlators

$$\sum_{S_1, S_2, S_3} \langle J^{(S_1)}(x_1, \lambda_1) J^{(S_2)}(x_2, \lambda_2) J^{(S_3)}(x_3, \lambda_3) \rangle$$

$$= \frac{1}{|x_{12}| |x_{23}| |x_{31}|} \cosh \left(\frac{Q_1 + Q_2 + Q_3}{2} \right) \prod_{i=1}^3 \cosh P_i,$$

$$P_1 = \lambda_2 \check{x}_{23} \lambda_3, \quad \check{x}_{ij} = \frac{x_{ij}}{x_{ij}^2}, \quad \text{cyclicly},$$

$$Q_1 = -\lambda_1 (\check{x}_{12} + \check{x}_{31}) \lambda_1,$$

This expression was first computed from

A-type Vasiliev th., in 1004.3736,

then shown to agree exactly with

free $O(N)$ theory!

In B-type Vasiliev th., in this computation of 3-pt fn, only difference is in b-t-b propagator for B.

Convenient to Fourier transform external polarization spinor λ .

$$B'^{(1)}(\gamma; \lambda) \rightsquigarrow B_{tw}^{(1)}(\gamma; \mu) = \frac{1}{\pi} \int d^2\lambda e^{2\lambda^\mu} B^{(1)}(\gamma; \lambda)$$

A-type th:

$$B_{tw}^{(1)}(\gamma; \mu) = \delta(y-x) e^{\bar{x}\bar{y}} + \delta(\bar{y}-\bar{x}) e^{xy}$$

B-type th.

$$B_{tw}^{(1)}(\gamma; \mu) = i\delta(y-x) e^{\bar{x}\bar{y}} - i\delta(\bar{y}-\bar{x}) e^{xy}$$

["b-t-b propagators are δ -fns on twistor space!"]

3-pt fn.

$$\frac{1}{|x_{12}| |x_{23}| |x_{31}|} \cdot \sinh\left(\frac{Q_1 + Q_2 + Q_3}{2}\right) \cdot \prod_{i=1}^3 \sinh P_i$$

match precisely that of free fermions

Why are these nontrivial tests of the bulk theory?

General constraints from conformal invariance
+ conservation of currents.

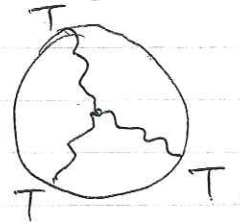
$$\begin{aligned} \Rightarrow \langle J^{(s_1)} J^{(s_2)} J^{(s_3)} \rangle &= a_1 \langle J J J \rangle_B + a_2 \langle J J J \rangle_F \\ &\quad \uparrow \text{free scalar} \qquad \qquad \qquad \uparrow \text{free fermion} \\ &\quad + b \langle J J J \rangle_{\text{odd}} \\ &\quad \uparrow \\ &\quad \text{parity odd, exists only when} \\ &\quad \triangle_{S_1 S_2 S_3} \text{ is obeyed.} \end{aligned}$$

e.g. bulk graviton $\leftrightarrow$ stress-energy tensor T_{ij}

$$\langle T T T \rangle = a_1 \langle T T T \rangle_B + a_2 \langle T T T \rangle_F$$

Einstein-Hilbert action in parity-mut th.

$$\int_{\text{AdS}_4} \sqrt{-g} (R - \Lambda) \rightsquigarrow$$



= a linear combination
of $\langle T T T \rangle_B$
and $\langle T T T \rangle_F$

Need higher deriv. coupling to match w/
just $\langle T T T \rangle_B$ (or $\langle T T T \rangle_F$).

We have seen that Vasiliev th. has precisely the correct higher derivative couplings (required by HS sym) to produce the free 3-pt functions.

★ Now, what about the critical $O(N)$ model?

- A-type th. w/ $\Delta=2$ boundary condition.
- HS sym broken by $1/N$ effects.
- only modification in the bulk is the propagator of the spin-0 field $\varphi^{(0)}$.

Scalar propagator in AdS_4 . ($m^2 = -\frac{2}{R^2}$). $R=1$.

$$G_{\Delta}(x, x') = \frac{1}{4\pi^2} \frac{\xi^{\Delta}}{1 - \xi^2}, \quad \xi = \frac{1}{\cosh d(x, x')}$$

$\Delta=1, 2$.

$\uparrow$
geodesic distance.

same singularity at $x=x'$,

different IR behavior.

$x = (\vec{x}, z)$, Fourier transform $\vec{x} \rightarrow \vec{p}$,

$\rightsquigarrow G_{\Delta}(p; z, z')$

Then,

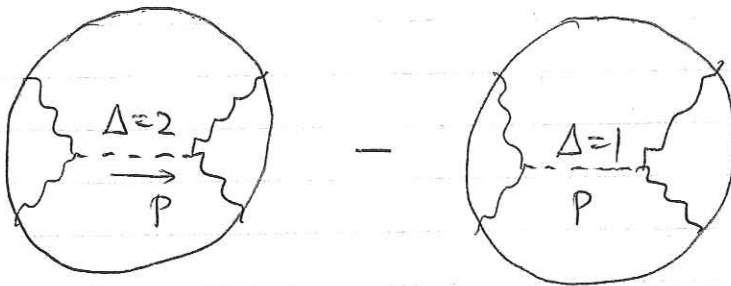
$$G_{\Delta=2}(p; z, z') - G_{\Delta=1}(p; z, z')$$

$$= -|p| \cdot K_{\Delta=1}(p; z) K_{\Delta=1}(p; z')$$

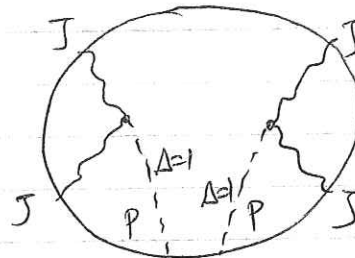
$$K_{\Delta=2}(p, z) = -|p| K_{\Delta=1}(p, z) = z e^{-|p|z}$$

↑ boundary-to-bulk propagator.

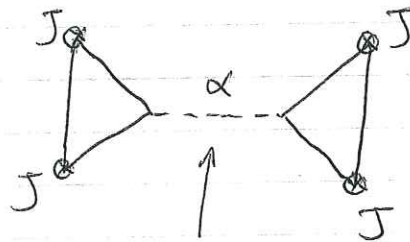
Diagrammatically,



$$= -|p| \times$$



↑ in CFT $1/N$ expansion, this is



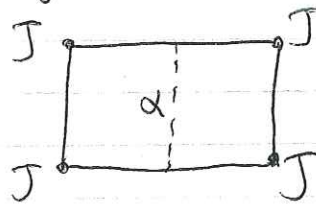
↑ $-|p|$ is α -propagator.

Also works at loop-level.

e.g.

$$\text{Sphere}(\Delta=2) - \text{Sphere}(\Delta=1) = -\frac{1}{2} \times \text{Sphere}(\Delta=1)$$

such contributions correspond to diagrams like



in critical $O(N)$ model.

Can show, to all order in perturbation theory (in $1/N$)

if $\text{Vector A-type th. w/ } \Delta=1 \text{ b.c.}$

$= \text{free } O(N) \text{ th.}$

$\leftarrow \begin{cases} \text{implies all } 1/N \text{ corr.} \\ \text{w/ } \Delta=1 \text{ b.c. cancel in} \\ \text{the bulk th.} \end{cases}$

then, $\text{A-type th w/ } \Delta=2 \text{ b.c.}$

$= \text{critical } O(N) \text{ vector model.}$

Breaking HS sym by boundary condition,

- Anomalous current conservation (ACC) relations in critical $O(N)$ model.

$$\mathcal{L} = N \left[\frac{1}{2} (\partial_\mu \vec{\Phi})^2 + \frac{1}{2} \alpha (\vec{\Phi}^2 - \frac{1}{g}) \right]$$

↘ @ criticality

$\vec{\Phi}^2$ is no longer an operator in this description.

$$J^{(0)} = \alpha, \quad \Delta = 2 + \mathcal{O}(\frac{1}{N})$$

eqn of motion for ϕ_i :

$$\square \vec{\Phi} = \alpha \vec{\Phi}$$

$$J^{(s)}(x, z) \equiv J_{\mu_1 \dots \mu_s}^{(s)}(x) \varepsilon^{\mu_1} \dots \varepsilon^{\mu_s}$$

↖ null vector

$$= \left. \phi_i f(\varepsilon \cdot \vec{\partial}, \varepsilon \cdot \vec{\partial}) \phi_i \right|_{\varepsilon^s}$$

$$\uparrow f(u, v) = e^{u \cdot v} \cos(2\sqrt{uv})$$

would be conserved if $\square \vec{\Phi} = 0$,

Now, we have instead

$$\partial^\mu J_{\mu \mu_1 \dots \mu_{s-1}}^{(s)} = \sum_{\substack{s' \leq s \\ n+m+s'=s-1}} \partial^n \alpha \partial^m J^{(s')}$$

$$n+m+s'=s-1$$

Normalization is s.t.

$$\langle \alpha \alpha \rangle \sim \frac{1}{N}, \quad \langle J J \rangle \sim N \quad \text{so far,}$$

For operators w/ normalized 2-pt fn.

$$\partial^\mu \left(\frac{1}{\sqrt{N}} J_{\mu \dots}^{(s)} \right) \sim \frac{1}{\sqrt{N}} \sum_{s' < s} \partial^\mu (\sqrt{N} \alpha) \cdot \partial^\mu \left(\frac{1}{\sqrt{N}} J_{\mu \dots}^{(s')} \right)$$

$J^{(s)}$ not exactly conserved, acquires anomalous dim of order $\frac{1}{N}$.

$$\| P^\mu |J_{\mu \dots}\rangle \|^2 = \langle J_{\nu \dots} | K^\nu P^\mu |J_{\mu \dots}\rangle \quad \swarrow \text{primary of conformal algebra}$$

$$= \langle J_{\nu \dots} | [K^\nu, P^\mu] |J_{\mu \dots}\rangle \propto (\Delta - s - 1) \delta^{\mu\nu} D - M^{\mu\nu}$$

$$\rightarrow \frac{1}{N} \sum \langle \alpha \alpha \rangle \langle J^{(s')} | J^{(s')} \rangle \Rightarrow \Delta = s + 1 + \mathcal{O}\left(\frac{1}{N}\right)$$

Now, consider 3-pt fn.

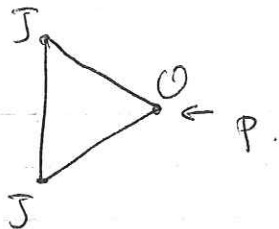
$$\langle J^{(s)} J^{(s')} \alpha \rangle, \quad s' < s$$

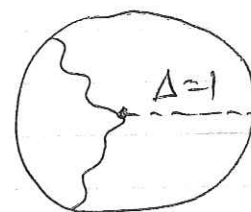
$$\langle \partial \cdot J^{(s)} J^{(s')} \alpha \rangle \sim \langle \alpha \alpha \rangle \langle J^{(s')} J^{(s')} \rangle$$

$\neq 0$ already at leading order in $\frac{1}{N}$!

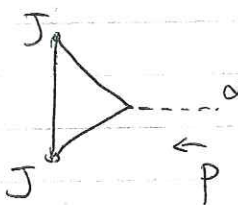
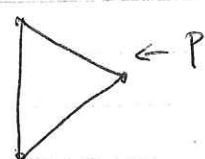
i.e. HS sym violated at bulk tree level, how come?

In momentum space.

free $O(N)$ th: $\langle J^{(s)} J^{(s')} \mathcal{O}(p) \rangle =$ 



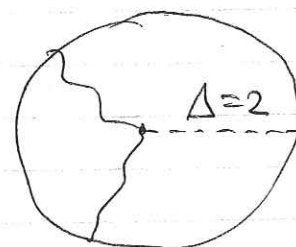
critical $O(N)$, leading order in $1/N$:

$\langle J^{(s)} J^{(s')} \alpha(p) \rangle =$  $= -|p| \times$ 

$s > s'$,

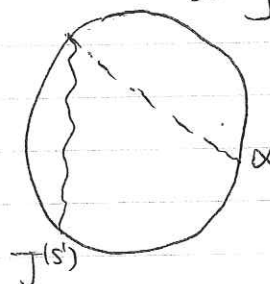
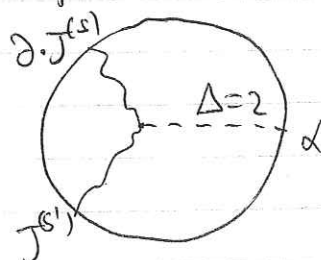
$\langle \partial \cdot J^{(s)} J^{(s')} \mathcal{O} \rangle$ is a contact term

i.e. analytizable in momentum p (at $p=0$)



$\langle \partial \cdot J^{(s)} J^{(s')} \alpha \rangle$ is not analytizable in p @ $p=0$.

Bulk picture:



bdry term contribution.

Generalizations of the vector model

- free $U(N)$, or $SU(N)$ vector model.

currents of spin $S=0, 1, 2, 3, \dots$

dual to non-minimal Vasiliev th. in AdS_4 ,

A/B-type for scalar/fermion dual.

Now, we can choose different boundary conditions on bulk vector $S=1$ gauge field A_μ .

[Witten '03]

ordinary bdy condition

$A_\mu \longleftrightarrow U(1)$ current J_i in CFT.

$F_{ij}|_{z=0} = 0$, $F_{zi}|_{z=0}$ unconstrained.

E-M dual bdy condition.

$F_{zi}|_{z=0} = 0$, $F_{ij}|_{z=0}$ unconstrained

$\longleftrightarrow$ gauging $U(1)$ current J_i on bdy.

$S \rightarrow S + \int A_i J^i$ integrating out "matter fields"
generate propagator for A_i .

This is the IR critical pt of

$U(1)$ QED + N flavors (scalar or fermion)

$\uparrow$ $F_{\mu\nu} F^{\mu\nu}$ irrelevant in the IR,
dominated by $\langle J^i J_j \rangle$.

There is more:

mixed bdy condition can be conformally invt:

$$\left(\frac{1}{2} \epsilon_{ijk} F_{jk} + \frac{N}{k} F_{zi} \right) \Big|_{z=0} = 0$$



gauge $U(1)$ current, w/ CS coupling @ level k

$$S \rightarrow S + \int A_i J^i + \frac{k}{4\pi} \int A \wedge dA$$

~~then~~

If we use the E-M dual boundary condition

for $A_\mu = \varphi_\mu^{(1)}$, and $\Delta=2$ b.c. on $\varphi^{(0)}$,

$\xleftrightarrow{\text{dual}}$ critical $\mathbb{CP}^{N-1}$ model.

$$\mathcal{L} = |D_\mu \phi_i|^2 + \alpha \left(|\phi_i|^2 - \frac{1}{g} \right) + \frac{1}{g_{YM}^2} F_{\mu\nu} F^{\mu\nu}$$

IR fixed pt of NLQM on $\mathbb{CP}^{N-1} \cong S^{2N-1}/U(1)$

• matrix Vasiliev th.

- replace $\ast$ -algebra by its tensor product
with the algebra of $M \times M$ matrices

"Chan-Paton factors"

→ $U(M)$ - HS gauge fields.

Dual: take $N \times M$ scalars ϕ_{ia} , (or fermions)
gauge $U(N)$ or $O(N)$
 cplx real .

residual $U(M)$ or $O(M)$ global sym
dual to bulk ^{nonabelian} vector gauge fields.

If we change bdy condition
e.g., generalization of
→ critical $O(N)$ ~~many~~ ~~fields~~,

or critical $U(M)$ QCD w/ N flavors....

colored graviton in the bulk confine

due to bdy condition, only one conserved
stress-energy tensor left.

Chem-Simons Vector Model.

$SU(N)$ CS at level k + $\square$ ^{massless} matter,
say Dirac fermion ψ .

$$\mathcal{L} = \frac{ik}{4\pi} \int \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A) + \int \bar{\psi} \not{D} \psi.$$

- non-supersymmetric. exact CFT with Lagrangian description.
- [only non-irrelevant term in $\bar{\psi}\psi$, tune away.]

$k \rightarrow \infty$ limit, CS gauge fields become free,
no propagating d.o.f.

$\rightarrow$ free $SU(N)$ vector model.

finite k - an interacting vector model.

+ 't Hooft limit $N, k \gg 1, \lambda = \frac{N}{k}$ finite,

$\sim O(N)$ degrees of freedom

Claim: dual to a ~~parity~~ HSGT in AdS_4 .
presumably, a parity violating Vasiliev th!

"Single trace" operators.

$$J^{(0)} = \bar{\Psi} \Psi.$$

$$J_{\mu}^{(1)} = \bar{\Psi} \gamma_{\mu} \Psi$$

$$J_{\mu\nu}^{(2)} = \bar{\Psi} \gamma_{\mu\nu} \overleftrightarrow{D}_{\nu} \Psi.$$

} exactly conserved.

$J_{\mu\nu\rho}^{(3)}$, etc. $\leftarrow$ conserved in free theory ($k \rightarrow \infty$)
or at $N = \infty$,
but not at finite N .

HOWEVER, single trace operators acquire anomalous dim only at order $\frac{1}{N}$,
for any finite λ .

Argument 1 in free theory, S.T.O. fall into reps of conformal algebra.

$$(\Delta, J) = \begin{matrix} \bar{\Psi} \Psi \\ (2, 0) \end{matrix} \leftarrow \text{long rep}$$

$$(2, 1)$$

$$(3, 2)$$

$$\vdots$$

$$(s+1, s)$$

} short rep.

To acquire anomalous dim. $\rightarrow$ long reps.

$(s+1+\delta, s)$ long rep.

$\downarrow \delta \rightarrow 0$

$(s+1, s) \oplus (s+2, s-1)$

$\hat{J}_{\mu \dots}$

$\partial^\mu \hat{J}_{\mu \dots}$

no such rep
among single trace
operators!

$(s+1, s)$ must combine with some double-trace op.

in order to be lifted at finite N .

- suppressed by $1/N$.

Argument 2.

Can show classically (analogous to
critical $O(N)$ model)

$$\partial^\mu J_{\mu \mu_1 \dots \mu_{s-1}}^{(s)} = \frac{\lambda}{JN} \sum_{s_1+s_2=s} \partial^{\dots} J^{(s_1)} \partial^{\dots} J^{(s_2)} + \frac{\lambda^2}{N} \sum J J J \quad (?)$$

normalized
s.t. $\langle J J \rangle \sim 1$.

can be renormalized
to $f(\lambda)$ quantumly,
but same order in N .

Anomalous dim

$$\delta \sim \langle \partial^\mu J_{\mu \dots} | \partial^\nu J_{\nu \dots} \rangle \sim \frac{1}{N} \langle J J | J J \rangle$$

No.
DATE

This shows that $J^{(s)}$ for $s > 0$ are not renormalized at $N = \infty$, finite λ .

What about $s=0$?

e.g. look at

$$\partial^\mu J_{\mu\nu\rho}^{(3)} \sim \sum \partial^{\dots} J^{(0)} \partial^{\dots} J^{(1)}$$

↑
dimension of $J^{(0)}$ fixed
by that of $J^{(3)}$ and $J^{(1)}$
at leading order in $1/N$.

Now, CS gauge fields don't
carry propagating d.o.f.

→ $\mathcal{O}(N)$ d.o.f (rather than N^2).
exactly solvable?

Yes, go to a gauge in which A^3 coupling
goes away.

1. temporal / axial gauge

$$A_3 = 0,$$

- troubling divergence at 2-loop and up.
- difficult to regularize.

2. lightcone gauge

$$A_- = 0,$$

$$A_- \equiv \frac{A_1 + iA_2}{\sqrt{2}}$$

defined by analytic continuation
from Lorentz signature.

in particular, A_+ still an independent
field.

- easily regularized in dimensional
reduction scheme!

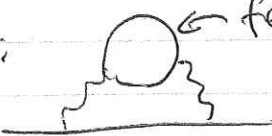
- Need to check Lorentz inv. restored
at quantum level in gauge inv observables.
• nontrivial, but works!

To solve exact fermion propagator at
large N :

$$\langle \psi(p) \bar{\psi}(q) \rangle = \delta(p+q) \frac{1}{i\not{p} + \Sigma(p)}$$

self-energy

$$-\textcircled{\Sigma(p)} = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \dots$$

Note:  ← fermion loop

is non-planar !

(unlike th. w/ adj matter)

At large N , have Schwinger - Dyson eqn.
for fermion self-energy.

$$-\textcircled{\Sigma} = \text{diagram with shaded fermion loop}$$

regularize: dimension $\rightarrow 3-\epsilon$, separate x^+, x^- ,
take remain 1 dim $\rightarrow (1-\epsilon)$ -dim.

Result: $\Sigma(p) = \lambda |p_s| - i\lambda^2 p^- \gamma^+$

spatial momentum

$$p_s^2 = 2p^+ p^-$$

2-loop exact!

(regularization scheme dependent)

Not manifestly Lorentz invt.

but also not a gauge invt quantity.

Look at poles of propagator:

$$\langle \psi \bar{\psi} \rangle = \frac{1}{i\not{p} - i\lambda^2 p^- \gamma^+ + \lambda |p_s|}$$

pole at

$$2(1-\cancel{\lambda^2}) p^- p^+ + p_3^2 + \cancel{\lambda^2} p_s^2 = 0.$$

$$\parallel$$

$$p^\mu p_\mu$$

pole structure
indeed Lorentz invt!

Finite temperature: $S^1_\beta \times \mathbb{R}^2$.

can solve Schwinger-Dyson eqn.

$$\Rightarrow \Sigma_T(p) = f(\beta |p_s|) \cdot |p_s| + i g(\beta |p_s|) p \bar{\gamma}^\dagger$$

$$f(y) = \frac{2\lambda}{y} \ln \left(2 \cosh \frac{\sqrt{C+y^2}}{2} \right)$$

$$g(y) = \frac{C}{y^2} - f(y)^2$$

C is determined by λ via rel'n

$$\frac{\sqrt{C}}{2\lambda} = \ln \left(2 \cosh \frac{\sqrt{C}}{2} \right)$$

• Free energy at finite T

$$F^{\text{planar}} = \bigcirc + \frac{1}{2} \bigcirc \text{ (wavy) } + \frac{1}{2} \bigcirc \text{ (zigzag) } + \dots$$

$$= \bigcirc - \bigcirc \text{ (with } \Sigma \text{)} + \frac{1}{2} \bigcirc \text{ (with } \Sigma \text{)}^2 - \frac{1}{3} \bigcirc \text{ (with } \Sigma \text{)}^3 + \dots$$

$$+ \frac{1}{2} \bigcirc \text{ (with } \Sigma \text{)}^2$$

$$= \text{Tr} \ln(\not{D} + \Sigma) - \frac{1}{2} \text{Tr} \left(\Sigma \cdot \frac{1}{\not{D} + \Sigma} \right)$$

can derive from path $\int$,

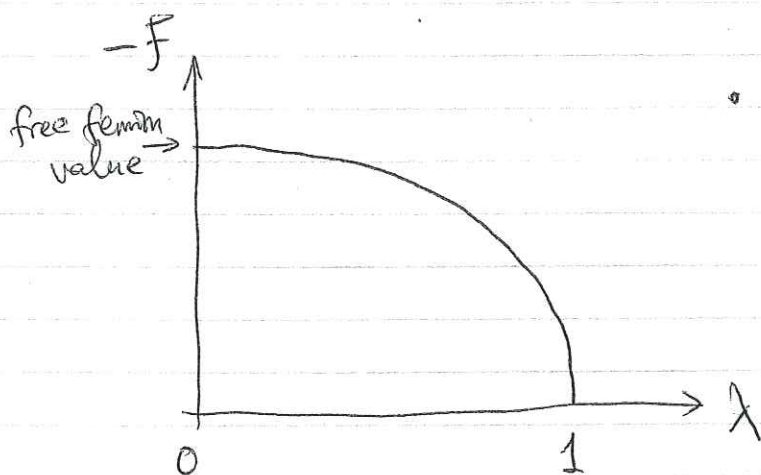
by integrating out A_μ in l.c. gauge.

precise form depended on $\bar{\Psi} \gamma_\mu A^\mu \Psi$ coupling

(different rel'n to self-energy for
CS-scalar th.)

Result:

$$\mathcal{F} = - \frac{N V_2 T^3}{6\pi} \left(c^{\frac{3}{2}} \frac{1-\lambda}{\lambda} + 6 \int_{\sqrt{c}}^{\infty} dy \cdot y \ln(1 + e^{-y}) \right)$$



• CFT does not exist for $\lambda > 1$.

↖ a regularization scheme dependent statement.

• $\lambda = \frac{N}{k}$, dim'l reduction,

• if use YM regularization, $k_{YM}^{CS(A)} + \int \text{tr} F_{\mu\nu} F^{\mu\nu}$

$\Rightarrow$ 1-loop shift $k_{YM} \rightarrow k_{YM} + N$.

$$0 < \lambda = \frac{N}{k_{YM} + N} < 1$$

consistent w/
our finding.

$$U(N)_k \times U(M)_{-k} \quad \text{ABJ.}$$



$N \gg 6$
 $U(M)$ - matrix Vasiliev theory. in AdS_4
~~parity~~

- turn on mixed bdy condition
 for bulk $SU(M)$ vector gauge fields.

$$\frac{1}{2} \epsilon_{ijk} F_{jk} + \frac{N}{k} F_{zi} \Big|_{z=0} = 0.$$

$\swarrow \quad \nearrow$
 $U(M)_{-k} \quad \quad \quad SU(M) \text{ field strength.}$

bulk coupling $g^2 \sim \frac{1}{N}$.

BULK 't Hooft coupling

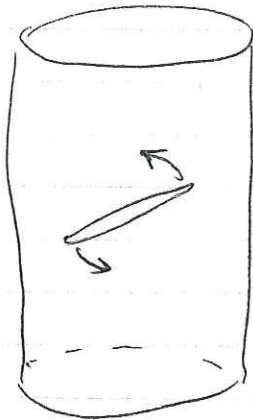
$$\lambda_{\text{BULK}} = g^2 M = \frac{M}{N} !$$

Single trace operator wrt $U(N)$,
 HS currents,

$$\Delta = S + 1 + \mathcal{O}\left(\frac{M}{N}\right).$$

$\uparrow$
 $(\# \frac{M}{N} + \# (\frac{M}{N})^2 + \dots) \ln S$ for $S \gg 1$.

GKP scaling



Spinning folded semi-classical
string in AdS_4

$$\Delta - J \sim \left(\frac{R}{l_s}\right)^2 \ln J, \quad J \gg 1.$$

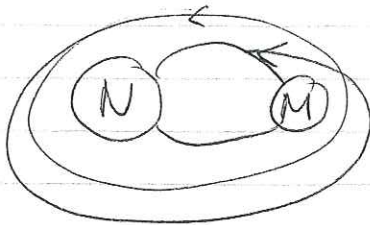
HS fields

(spinning) strings

$$\frac{M}{N} \ll 1,$$

$$\frac{M}{N} \sim 1$$

$$\frac{M}{N}$$



single-trace operators
of the quiver $\leftrightarrow$ single string
states

small M .

multi-particle states
of HS fields.

A generic string mode is the bound state of
HS particles in AdS_4 !
binding energy $\sim \mathcal{O}\left(\frac{M}{N}\right)$.

ABJ is known to be dual to

Type IIA string on

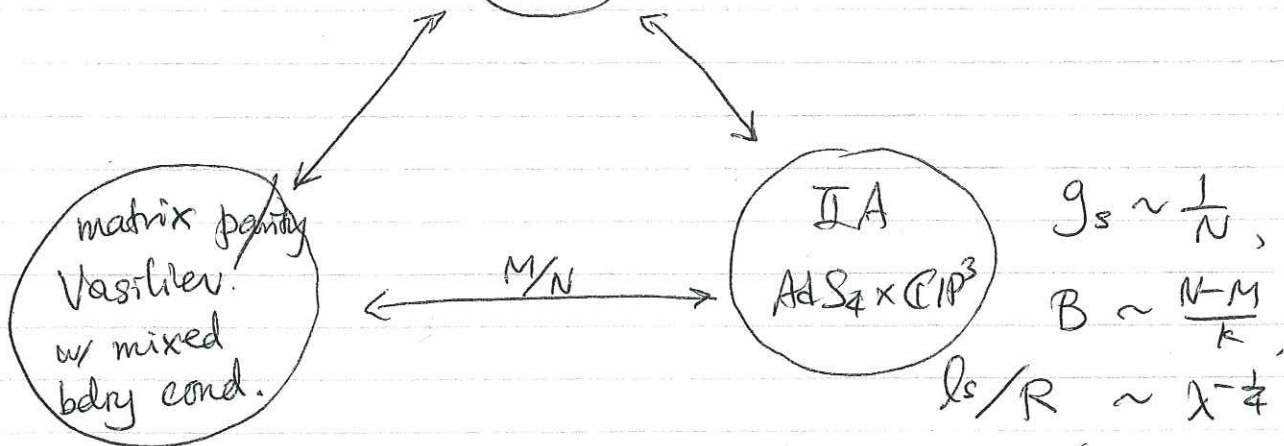
$AdS_4 \times CP^3$ w/ flat B-field.

$$\frac{1}{2\pi\alpha'} \int_{CP^3} B = \frac{N-M}{k} + \frac{1}{2}.$$

$$\frac{|N-M|}{k} < 1.$$

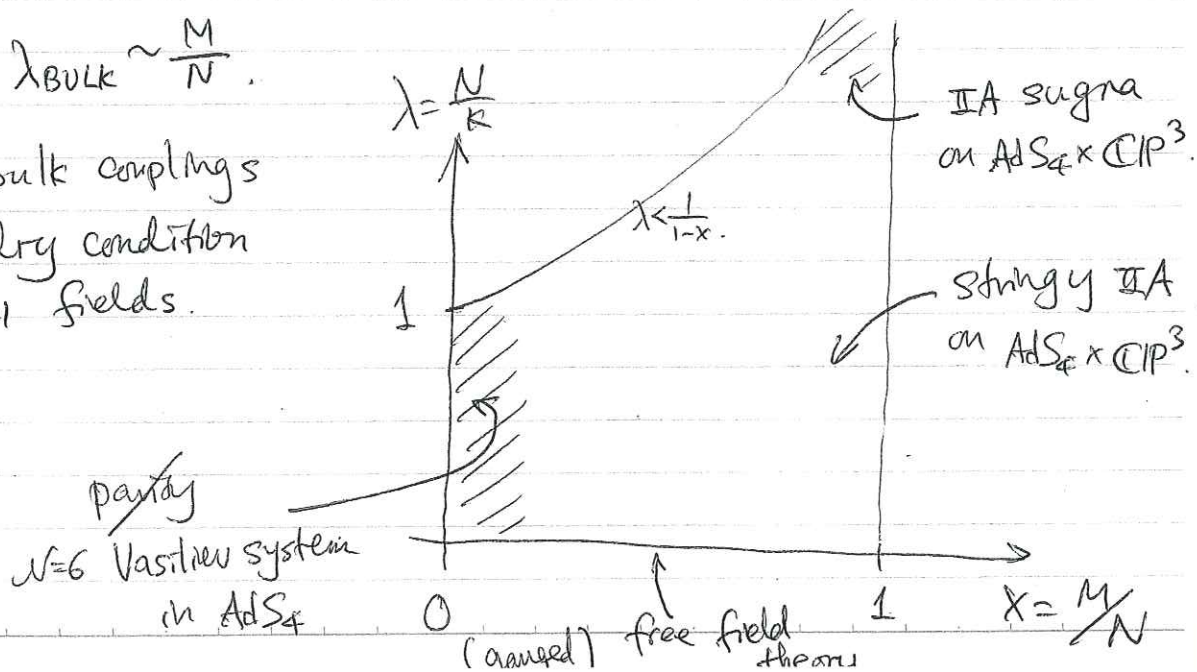
expansion parameter

$$\frac{1}{N}, \lambda = \frac{N}{k}, \lambda' = \frac{M}{k}$$



$$g_{BULK} \sim \frac{1}{N}, \lambda_{BULK} \sim \frac{M}{N}.$$

$\lambda = \frac{N}{k}$ enters bulk couplings and bdy condition on $S=1$ fields.



A story I had left out entirely.

AdS_3/CFT_2 .

pure HS

$SL(N, \mathbb{R}) \times SL(N, \mathbb{R})$ CS.

$S=2, 3, \dots, N$

HS "extremal"

CFT?

don't know



Vasiliev system.

$hs[\lambda] \times hs[\lambda]$.

$S=2, 3, \dots, \infty$

+ massive scalar φ

a subsector

of W_N min model



- primary $(R, 0)$.
- OPE closed at large N
- incomplete at finite N .

Vasiliev system

+ more massive scalars

+ many more light states.

• conical deficits?



W_N minimal model.

• large N factorization ✓.

$$\frac{SU(N)_k \times SU(N)_1}{SU(N)_{k+1}}$$

$$\lambda = \frac{N}{k+N}.$$
